

# Employment Services Manual

SC Department of Employment and Workforce

September 2021

# **Table of Contents**

OVERVIEW OF MANUAL	
Overview: Relevant State Instructions	14
PART 1: EMPLOYER SERVICES	15
1.1 WIOA and Services to Employers	16
1.1.1 Effectiveness in Serving Employers (ESE) & Activity Code Entry	16
1.1.2 Virtual Events in the Brazen Platform	18
1.2 Employer Account Management in SCWOS	
1.2.1 Employer Recruiting Account Creation	
1.2.1.1 Federal Employer Identification Number (FEIN) versus Social Security Number (SSN)	
1.2.1.2 Third Parties Acting on an Employer's Behalf	
1.2.2 Employer Recruiting Account Management	
1.2.2.1 Authorized Employer Contacts	
1.2.2.2 Changing Employer Contacts	
1.2.2.3 Changing Employer Passwords	
1.2.2.4 System Required Employer Password Change/Deactivation	
1.2.2.5 Resolution of Duplicate Employer Accounts	
1.2.2.6 Locking an Employer Account	
1.2.2.7 SCWOS Employer Services Alerts	
1.3 Job Orders	20
1.3.1 Equal Employment Opportunities	
1.3.2 Labor Disputes	
1.3.3 Fees for Consideration	
1.3.4 Union or Non-Union Specifications	
1.3.5 Submission of Job Orders	
1.3.6 Review of Job Orders	
1.3.7 Posting a Job Order	
1.3.7.1 Federal Requirements to Post Internal Job Positions	34
1.3.8 Job Order Referrals	35
1.3.8.1 Self-Referral Process	36
1.3.8.2 In & Out Job Orders	36
1.4 Rapid Response	37
1.4.1 Rapid Response IWT	39
1 5 Foreign Labor Certifications	20

1.6	Work Opportunity Tax Credits	41
1.7	Labor Market Information for Employers	42
1.8	Career Readiness Assessments	42
1.8.1	WIN Learning	43
1.	8.1.1 Entering WIN Assessment Results in SCWOS	44
1.8.2	ACT WorkKeys	44
1.9	HIRE Vets Medallion Program	44
Part 1:	Relevant State Instructions	46
PART	2: JOB SEEKER SERVICES	47
2.1	Career Services	48
2.1.1	Basic Career Services	48
2.	1.1.1 Job Search Ready	49
2.1.2	Individualized Career Services	50
2.2	Determining and Planning Appropriate Career Services	51
2.2.1	WP Initial Assessment Procedures (Comprehensive Centers Only)	51
2.2.2	Objective Assessments	52
2.2.3	Individual Employment Plans (IEPs)	53
2.	2.3.1 Developing and Amending IEPs	53
2.	2.3.2 Alerts and IEP Follow-up	54
2.	2.3.3 Avoiding Duplicated Goals	55
2.	2.3.4 IEP Creation Procedures	56
2.3	SCWOS Individual Account Management	
2.3.1	.,	
2.3.2		62
2.3.3	Creating Case Notes	64
2.3.4	Required Use of SCWOS for Referrals	65
2.	3.4.1 Referral Requirements	65
2.	3.4.2 Recording Outcomes	66
2.3.5	Resetting Passwords for Individuals	67
2.3.6	Merging Individual User Accounts	67
2.4	WIN Learning	68
2.5	Federal Bonding	69
Part 2:	Relevant State Instructions	70
PART	3: VETERANS AND WORKFORCE INNOVATIONS PROGRAMS	71

3.1	Services for Veterans	72
3.1.2	1 Priority of Service for Veterans and Other Covered Persons	72
3.1.2	2 "Veteran Hold" in SCWOS	72
3.1.3	3 Jobs for Veterans' State Grants (JVSG)	73
3.	.1.3.1 Eligibility for DVOP Services	73
3.1.4	4 Homeless Veterans' Reintegration Program (HVRP)	75
3.2	WIOA Title I Programs	76
3.2.2	1 Adult Program Eligibility	76
3.2.2	2 Dislocated Worker Program Eligibility	77
3.2.3	3 Youth Program Eligibility	78
3.2.4	4 National Dislocated Worker Grant (DWG)	79
3.2.5	5 Incumbent Worker Training (IWT)	80
3.3	Services for Migrant and Seasonal Farm Workers (MSFWs)	82
3.4	Trade Adjustment Assistance (TAA or Trade) Program	83
3.5	Jobs for America's Graduates (JAG)	84
3.6	Labor Market Information (LMI) for Jobseekers	84
3.7	Ticket to Work Program: Employment Network	84
Part 3:	Relevant State Instructions	85
PART	T 4: ES AND UI COORDINATION	86
4.1	Workforce Information Portal (WIP)	87
4.2	Suitable Work	89
4.3	UI-Approved Training—GED Program and State Technical College Training	90
4.4	RESEA Program	93
Part 4:	Relevant State Instructions	95
PART	T 5: SPECIAL PROJECTS	96
5.1	Back to Work Program	97
5.2	Second Chance Programs	97
5.2.2	1 Case Managers within Corrections	97
5.2.2	2 Workshops for Those Scheduled for Release	98
5.2.3	3 Released Population	98

5.3	Reentry State Council Reentry Job Fairs	98
Part 5	5: Relevant State Instructions	99
PAR	T 6: OPERATIONS AND OVERSIGHT	100
6.1	Performance Measures	101
6.1	L.1 Wagner-Peyser Program	101
6.1	L.2 Trade and Veterans' Programs	101
6.1	L.3 Data Changes for Workforce Programs	102
6.1	1.4 Performance Data Validation for DOL Workforce Programs	102
(	6.1.4.1 Data Validation Procedures and Responsibilities	103
(	6.1.4.2 Staff Training	104
(	6.1.4.3 Correcting Missing or Erroneous Data	104
6.2	Complaints	105
6.3	Individuals with Disabilities and the Law	105
6.4	Personally Identifiable Information (PII)	106
6.4	1.1 Security of PII	106
6.4	1.2 Record Retention	107
6.5	Requests for Information	108
6.6	Professional Standards	108
6.7	Civility	108
Part 6	6: Relevant State Instructions	111
PAR	T 7: LIST OF REVISIONS	112
7.1	Revision 1: JANUARY 2018	113
7.2	Revision 2: AUGUST 2019	114
7.3	Revision 3: SEPTEMBER 2021	118
PAR	T 8: RESOURCES	120
8.1	WIOA Required Partner Programs	121
8.2	WIOA Additional Partner Programs	123
8.3	Where to find things	123

8.4	SCWOS Support and Technical Assistance	
8.5	Abbreviations 125	5

## Overview of Manual

### **PURPOSE**:

The purpose of this manual is to:

- Provide information about Employment Services (ES) policies and procedures; and
- Highlight how ES supports the primary mission of the SC Department of Employment and Workforce (DEW) and the local workforce system.

### **OBJECTIVES**:

The objectives of this manual are to:

- Establish a comprehensive resource for ES information and operational guidance for service delivery;
- Communicate expectation for program design and service delivery; and
- Ensure a consistent level of service.

### **OVERVIEW**:

On June 6, 1933, President Franklin D. Roosevelt signed the Wagner-Peyser (WP) Act into law, establishing a nationwide network of public employment service (ES) offices in order to combat the effects of the Great Depression by channeling job seekers into available private sector jobs and government-funded public service jobs. The WP Act has seen a number of evolutions since it became law. Some key legislation instigating these changes includes the following:

- Area Redevelopment Act of 1961
- Manpower Development and Training Act of 1962
- Economic Opportunity Act of 1964
- Comprehensive Employment and Training Act (CETA) of 1973
- Job Training and Partnership Act (JTPA) of 1982
- Workforce Investment Act (WIA) of 1998
- Workforce Innovation and Opportunity Act (WIOA) of 2014

WIOA represents the first legislative reform of the public workforce system in more than 15 years, replacing the Workforce Investment Act of 1998. WIOA amends the WP Act, the Adult Education and Family Literacy Act (AEFLA) of 1998, and the Rehabilitation Act of 1973 (Rehab Act). WIOA coordinates the four core programs of federal investment in skill development, which includes employment and training services for adults, dislocated workers, youth, and WP employment services, administered by the US Department of Labor (DOL), as well as adult education and literacy programs and Vocational Rehabilitation (VR) state grant programs that

assist individuals with disabilities in obtaining employment, both administered by the US Department of Education (ED).

In an effort to more efficiently and successfully provide services to job seekers and employers, WIOA requires coordination of services across programs and identifies the following entities as required partners in the workforce system:

- Adult Formula Program (WIOA Title I)
- Dislocated Worker (DW) Formula Program (WIOA Title I)
- Youth Formula Program (WIOA Title I)
- Job Corps (WIOA Title I)
- YouthBuild (WIOA Title I)
- Native American Programs (WIOA Title I)
- Migrant and Seasonal Farmworker (MSFW) Programs (WIOA Title I)
- Adult Education and Family Literacy Act (AEFLA) Program (WIOA Title II)
- Wagner-Peyser (WP) Act Employment Service (ES) (WIOA Title III)
- Vocational Rehabilitation (VR) Program (WIOA Title IV)
- Senior Community Service Employment Program (SCSEP)
- Carl D. Perkins Career & Technical Education Act (Perkins)
- Trade Adjustment Assistance (TAA or Trade)
- Jobs for Veterans State Grants (JVSG) Programs
- Community Services Block Grant (CSBG) Employment and Training Programs
- Housing and Urban Development (HUD) Employment and Training Programs
- Unemployment Compensation (UC) Programs
- Second Chance Act Programs
- Temporary Assistance for Needy Families (TANF)

### SC Works Centers and the One-Stop Delivery System

The One-Stop delivery system brings together workforce development, educational, and other human resource services in a seamless customer-focused service delivery network that enhances access to the programs' services and improves long-term employment outcomes for individuals receiving assistance. One-Stop partners administer separately funded programs as a set of integrated streamlined services to customers through the SC Works system. SC Works is the brand name of the American Job Centers statewide and for South Carolina's public workforce system, which includes the collective workforce partners. Job seekers and employers can access SC Works services online or through SC Works comprehensive and affiliate centers. Job seekers may also access services at specialized centers and outreach locations. Local Workforce Development Boards (LWDBs) are required to evaluate their comprehensive and affiliate SC Works centers every two years based on the SC Works Certification Standards issued with SI 18-11, including evaluation for physical and programmatic accessibility.

### **Comprehensive Center**

Local Boards are required to have at least one comprehensive SC Works center in each Local Workforce Development Area (LWDA). A comprehensive center, as described in TEGL 16-16, "is a physical location where job seekers and employer customers can access the programs, services, and activities of all required One-Stop partners (section 121(b)(1)(B) of WIOA), along with any additional partners as determined by the [LWDB]."

In addition to comprehensive centers, LWDBs may utilize additional access points to enhance the range and quality of services throughout the LWDA. Such access points are called affiliate or specialized SC Works centers.

### Affiliate Center

"An affiliated site, or affiliate One-Stop center, is a site that makes available to job seeker and employer customers one or more of the One-Stop partners' programs, services, and activities. An affiliated site does not need to provide access to every required One-Stop partner program. The frequency of program staff's physical presence in the affiliated site will be determined at the local level" (20 CFR 678.310(a)).

TEGL 16-16 provides further clarification by stating that "[p]ublic libraries are an example of an additional access point that [LWDBs] can use as affiliate American Job Centers. In addition to offering public computers and internet access for job seekers and individuals, many libraries also provide space for businesses to host career fairs and networking events."

**NOTE**: Affiliate centers were formerly known as "satellite centers" under the Workforce Investment Act of 1998 (WIA). References to "satellite centers" should now be read as "affiliate centers."

### Specialized Center

A specialized center is a site "that address[es] specific needs, including those of dislocated workers, youth, or key industry sectors, or clusters" (20 CFR 678.300(d)(3)). Specialized centers, as described in 20 CFR 678.320, "must be connected to the comprehensive One-Stop center and any appropriate affiliate One-Stop centers, for example, by having processes in place to make referrals to these centers and the partner programs located in them."

TEGL 16-16 states, "Based on local workforce needs, the [LWDB], in conjunction with the partners and One-Stop operator(s), may determine that a specialized center, as described in 20 CFR 678.320, 34 CFR 361.320, and 34 CFR 463.320, is more appropriate to serve a particular population and may choose to operate a specialized center(s). For example, a specialized center may be established to serve a specific group of dislocated workers affected by a regional lay-off. In this example, the specialized center would provide a variety of services tailored to the needs of the dislocated workers, including career coaching, networking opportunities, comprehensive

assessment, and employer meetings." Specialized centers are not subject to the SC Works Certification Standards.

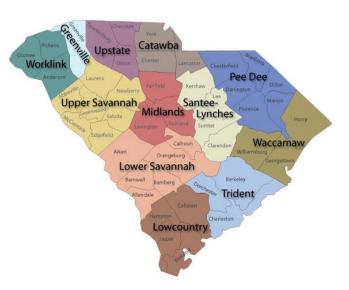
### **Outreach Location**

An outreach location is a site that is established to provide services to those that are unable to reach comprehensive and affiliate centers within the LWDA due to transportation and/or other barriers. Outreach locations can provide services at the same location for no more than once a week, for a 180 day consecutive period. If a LWDB determines that staff presence is needed at an outreach location for more than once a week and/or beyond 180 days to adequately serve individuals within the LWDA, then the site would be considered an affiliate center. Outreach locations are not subject to the SC Works Certification Standards.

### **Connection Point**

Though not under the American Job Center umbrella, a connection point is a site, identified by DEW, which houses marketing materials and/or electronic access to Unemployment Insurance (UI) services. While staff may ensure marketing materials are present, no SC Works staff-assisted services are provided onsite. Connection points are not subject to the SC Works Certification Standards.

With centers located throughout the State, employment representatives are available to help both employers and jobseekers find the best resources and services to meet their needs.

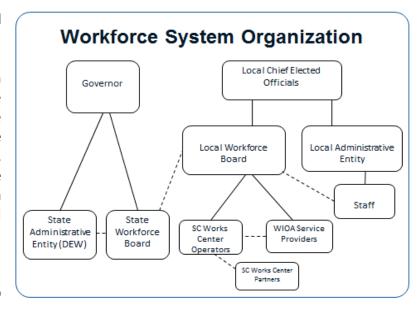


### **ES Programs**

The basic purpose of ES programs is to improve the functioning of the labor market by bringing together individuals who are seeking employment and employers who are seeking workers. DEW, in partnership with the <u>SC Works</u> system, provides jobseekers with career guidance, job referrals, assessments, and resume assistance. Free tools to assist employers include posting job openings, recruiting and screening candidates, and reviewing job market trends. South Carolina's twelve LWDBs administer the SC Works centers throughout the state.

# SC Department of Employment and Workforce

The Workforce Innovations Division at DEW is responsible for the administration and operation of the WIOA and TAA programs; while the ES Division administers the WP, JVSG, and MSFW programs. These programs focus on providing a variety of employment related services as seen below.



Employment-related services for job seekers:

- Job search assistance, job referral, and placement assistance for job seekers
- Reemployment services for UI claimants
- Recruitment services for employers with job openings
- Assist employers in filling jobs by recruiting, screening, and referring qualified job seekers that meet job requirements
- Assist job seekers in finding employment for which they are qualified, in order to provide them long-term employment stability and earnings potential
- Facilitate job matching between employers and job seekers
- Ensure UI claimants meet the work test requirement by registering in SC Works Online Services (SCWOS)
- Provide information regarding labor market conditions
- Address, or assist in addressing, labor issues in regard to state and federal laws

Depending on the needs of individuals, other services may also be available:

- Assessment of skill levels, abilities, and aptitudes
- Career guidance
- Job search workshops
- Referral to training
- Referral to other partner programs and services, as appropriate

Services for employers may include the following:

- Referring job seekers to available job openings
- Assisting in the development of job orders
- Matching job seekers with job requirements, skills, and other attributes

- Assisting employers with special recruitment needs
- Arranging job fairs
- Assisting employers with analyzing hard-to-fill jobs
- Helping employers with layoffs

### **DEW Staff Descriptions**

Most ES staff who provide services directly to the public work in comprehensive SC Works centers and affiliate centers across the states. The ES local area staff generally consists of the following positions:

- **Area Directors** are state-level staff who oversee the operation of ES services throughout the state.
- Regional Managers oversee the DEW staff in all comprehensive and affiliate centers in their assigned regions. The twelve regional managers report directly to two Area Directors.
- Workforce Consultants provide intensive case management and career planning activities to identify barriers to employment and develop solutions for TAA and WP participants. Workforce Consultants educate individuals regarding SC Works programs, policies, and services.
- Business Consultants provide consistent and effective services to businesses that
  demonstrate clear connections between workforce development activities and employer
  needs. Business Consultants market and promote the services offered through the SC
  Works centers to employers.
- Workforce Specialists deliver a broad range of frontline services to assist individuals in resolving barriers to employment and increasing skills in order to obtain or maintain employment. Workforce Specialists educate individuals regarding SC Works programs, policies, and services, and make referrals as appropriate.
- Workforce Support Coordinators hold one of the ES positions—Workforce Specialist, Workforce Consultant, or Business Consultant—and manage both regional and state projects as well as other duties.
- Local Veterans' Employment Representatives (LVERs) are business consultants for Veterans' services. LVERs provide consistent and effective services to businesses on behalf of veterans that demonstrate clear connections between workforce development activities and employers' needs. LVERs market and promote the services offered through the SC Works centers.
- Disabled Veterans' Outreach Program Specialists (DVOPs) are workforce consultants for Veterans. DVOPs conduct case management and career planning activities for disabled veterans to identify barriers to employment and develop solutions for customers. DVOPs educate individuals regarding SC Works programs, policies, and services.
- Rural Manpower Coordinators provide extensive outreach to and maintain contact with agricultural employers to assist with any hiring needs, including guidance for compliance with relevant federal and state laws and procedures.

 Migrant and Seasonal Farmworker (MSFW) Workforce Specialists identify and contact farmworkers who are not being reached through everyday services at local SC Works centers to provide quality employment services and referrals.

### State Plan

Under WIOA, each state must submit a Unified or Combined State Plan to DOL that outlines a four-year strategy for the State's workforce development system. An approved state plan must be in place to receive funding for core programs. WIOA amends WIA to require states to plan across core programs and include this planning process in the Unified or Combined State Plans. South Carolina is under a Unified State Plan covering the six WIOA core programs: the Adult, Dislocated Worker, and Youth programs, as well as the WP program, the AEFLA program, and the VR program. The state plan for SC can be found <a href="here">here</a> or by going to the <a href="seworks.org">seworks.org</a> website, selecting Workforce System, and selecting the Document Directory from the left margin.

### **Local and Regional Plans**

WIOA requires each LWDB to develop a four-year local plan in partnership with the chief elected officials (CEOs) in their local areas. Additionally, LWDBs and CEOs are required to engage in a regional planning process that results in the development of a regional plan which incorporates the local plans within the region. The regional and local plans serve as a four-year action plan to develop, align, and integrate service delivery strategies and to support the State's vision and strategic and operational goals. WIOA requires a modification of the four-year regional and local plans every two years. There are four regional planning regions within SC that include the following local areas:

- Link Upstate—Greenville, Upper Savannah, Upstate, and WorkLink
- Central—Catawba, Lower Savannah, and Midlands
- Pee Dee—Pee Dee, Santee-Lynches, and Waccamaw
- South Coast—Lowcountry and Trident

The names of regions may change based on the needs of the region, subject to DEW approval.

State instructions and memos can be found at <a href="https://scworks.org/workforce-system/policies-and-guidance">https://scworks.org/workforce-system/policies-and-guidance</a>.

### **SC Works Online Services (SCWOS)**

SCWOS is SC's comprehensive job search tool that helps employers and job seekers connect through the Internet. Employers and job seekers can register online, browse applicants or job openings, and request matches against job orders and work registrations. SCWOS is also the case

management system for the Adult, Dislocated Worker (DW), and Youth programs, as well as the TAA and WP programs. All SCWOS features are free and include the following:

- Online self-registration
- Resume creation
- Online job posting and matching
- Ability to browse jobs or applicants and contact matches for both
- Access to current labor market information (LMI)
- Access to career tools and training resources
- Access to job openings collected, or "spidered," from other websites

### **HOW TO USE THIS MANUAL:**



This manual is meant as a training manual for new hires and as a reference tool for experienced staff members. Keep an eye out for the green check mark throughout for useful Pro Tips.

State Instructions relevant to each part are listed following each part. All listed State Instructions are current as of the issuance of this manual. Review new State Instructions as issued to keep upto-date on current state policy between manual revisions.

### **CHANGES TO CONTENT:**

DEW maintains the ES Manual in <u>SCWOS</u> under Staff Online Resources and in the <u>DEW Policy Center</u> for DEW employees. Updates to the manual will be issued through memos, which will indicate that the manual has been revised. Part 7 of this manual lists the revisions, section and date revised, and a brief explanation of each. Additionally, state policy and technical guidance is available on the <u>State Policy and Technical Guidance</u> page on <u>scworks.org</u>.

# Overview: Relevant State Instructions

SI 11-11, Change 1: SC Works Center Leadership Team Roles, Responsibilities, and Functional Supervision

SI 15-08: Identification and Alignment of WIOA Planning Regions

SI 15-16, Change 2: Regional and Local Planning Guidance

SI 16-03: Changes to SCWOS Terms and Conditions

SI 18-09: Program Year 2018 Regional and Local Plan Modifications

SI 19-03: SC Works Centers and the One-Stop Delivery System

# Part 1: Employer Services

### 1.1 WIOA and Services to Employers

The role of the SC Works system is to deliver high quality services to job seeker and business customers that improve the employment outcomes for both groups. WIOA requires employer services to be an integral component of the local workforce system.

**Employer services** provided through the SC Works system include the following:

- Outreach to promote the use of SC Works facilities and services
- Entry, review, and maintenance of job orders in SCWOS
- Referrals of qualified candidates for job openings
- Specialized testing
- SCWOS technical assistance
- Recruitment assistance, including job fairs
- Information on employment-related issues
- Training services for new and incumbent workers
- Job analysis
- Layoff aversion services
- Rapid response to layoffs and business closures
- Labor market data
- Federal bonding
- Work Opportunity Tax Credit (WOTC)

Resources for Employers, on jobs.scworks.org, are available for employers to learn more about accessing and using services in SCWOS.

An **employer** is a person, firm, corporation, or other association or organization that meets the following requirements:

- Is located in the United States
- Proposes to employ a worker within the United States
- Hires, pays, fires, supervises, and controls the employee's work
- Withholds and submits quarterly payroll taxes when applicable thresholds are met
- Issues (or intends to issue) IRS form W-2 showing the employee's wages, tips and other compensation earned, and taxes withheld while the employee is employed by the employer

**NOTE**: Entities seeking only independent contractors are not considered employers under this definition.

### 1.1.1 Effectiveness in Serving Employers (ESE) & Activity Code Entry

Employer registration in SCWOS is a key step in meeting the hiring needs of business and finding authentic employment opportunities for job seekers. One of the primary indicators of performance required by WIOA is Effectiveness in Serving Employers (ESE). This indicator is

measured as a shared outcome across all six core programs within each state to ensure a holistic approach to serving employers. States must choose two of three possible approaches to measuring ESE. The ESE performance measures currently used in SC are Employer Penetration Rate and Repeat Business Customers.

- **Employer Penetration Rate**—the percentages of employer establishments using services out of all employer establishments in the state
- Repeat Business Customers Rate—the percentage of employer establishments using services during the year that also used services within the previous three years

The number of employer establishments is defined by the Bureau of Labor Statistics (BLS) Quarterly Census of Employment and Wages (QCEW) program. For employers with more than one physical location, the QCEW reports each work site as a separate establishment and therefore, the total number of business establishments receiving services is counted this way.

In order to track our ESE for performance measures, employer service activity codes have been mapped to eight employer service categories specified by DOL. Employer service activity codes are revised regularly. Current activity codes and definitions are maintained in SCWOS under Staff Online Resources. The ESE performance indicator makes tracking employer services in SCWOS vitally important. Employers that receive the following SC Works services must be registered and approved in SCWOS:

E02: Job Fair/Hiring Event Services	E27: Participation in a WIOA-Funded Registered Apprenticeship Program
E03: Provided Job Order Assistance and	E30: TAA OJT Agreement
Follow-up	
E04: Provided Mass Recruitment Services	E32: WIN Job Analysis
E12: WorkKeys Job Profile	E40: WIOA State or Local IWT
E13: WIOA OJT Agreement	E90: Referred Qualified Applicants
E20: RR-Funded IWT Agreement for Layoff	E92: Notification to Employer of Potential Applicant
Aversion	
E23: Federal Bonding*	E93: Field Checks/Inspections*
E25: readySC Recruitment Activity	E94: Employer Viewed Internal Resume

<sup>\*</sup>These codes must be entered by state level staff only.

Providing these services to unverified employers could expose customers to fraudulent data and result in liability for SC Works system partners. Entering employer service codes on unverified employer accounts could result in revocation of SCWOS privileges. Additionally, providing services that require employer verification to non-verified employers, including but not limited to, job fair/hiring event service, Incumbent Worker Training (IWT) contracts, or On-the-Job Training (OJT) contracts, could result in disallowed costs.

**Exception**: Employer verification in SCWOS may be waived for job fairs and hiring events due to mass layoffs and closure activities. **Waivers must be authorized at the state level.** If approved,

the LWDA(s) will be notified. Any local requests for a waiver must be submitted to Workforce Support at WorkforceSupport@dew.sc.gov.

The following SC Works services may be provided to employers who have a Marketing Lead account, or to employers who have a Recruiting account and are in "pending verification" status in SCWOS. In order to receive credit for services provided to these employers, employer service activity codes for these services must be recorded in SCWOS for performance purposes. Work Items do not count.

E01: On-Site Consultation Services	E35: Work Experience	
E05: Provided Detailed Labor Market Study	E36: Virtual Consultation Services	
E07: Promotional Contact	E70: Referral to SC Vocational Rehabilitation	
E15: RR Management Meeting – On Site*	E71: Referral to WIOA	
E16: RR Group Orientation*	E72: Referral to Wagner-Peyser	
E21: Federal Tax Credit (WOTC)	E73: Referral to Apprenticeship Carolina	
E22: Community Resource Connections	E74: Referral to Adult Education	
E24: On-Site Re-employment Services*	E75: Referral to SC Department of Social Services	
E26: Engaged in Strategic Planning/Economic	Engaged in Strategic Planning/Economic E76: Referral to Work Opportunity Tax Credit	
Development		
E28: RR Management Meeting – Not in Person*	E77: Referral to Enterprise Zone	
E31: HIRE VETS Medallion Program	E78: Referral to SC Manufacturing Extension Partnership	
E33: Sector Partnership	E79: Referral to WorkKeys Job Profiling	
E34: COVID19 Affected Employer	E80: Referral to WIN Job Analysis	

<sup>\*</sup>These codes must be entered by state level staff only.

### 1.1.2 Virtual Events in the Brazen Platform

Virtual services are necessary to provide jobseeker customers with resources, tools, and program services vital to education, skill development, and training to meet business demand. Employers rely on virtual services that provide assistance with layoff aversion, downsizing or layoff assistance, and recruitment and hiring support to supply qualified candidates to meet particular business needs. As such, the SC Works system has the responsibility to increase the availability and delivery of virtual workforce services for job seekers and employers to align with changing workforce conditions.

The Brazen Virtual Event Platform allows attendees to enter into a virtual lobby to engage with and connect to employers in a web-hosted experience, including hiring events, opportunity events and community resources events. The Brazen platform is an extension of SCWOS, and staff must adhere to all state issued policies, including State Instruction 17-01, Change 3: Required Employer Registration in SCWOS and Effectiveness in Serving Employers.

Within the platform, Administrators, or "Admins," build and manage events, as well as assign user roles, and access analytics and reports. To increase collaboration across the state, each LWDA will be granted admin access to one of the following four Regional Accounts in the system:

- Greater Upstate Region—Upstate, Greenville, Upper Savannah, and WorkLink
- Central Region—Catawba, Lower Savannah, and Midlands
- Pee Dee Region—Pee Dee, Santee-Lynches, and Waccamaw
- South Coast Region—Lowcountry and Trident

LWDA Business Services Team Leads and DEW Regional Managers will serve as "**Primary Admins**" and be responsible for the following activities:

- Choosing and managing staff that have Secondary Admin privileges
- Alerting <u>VirtualHiringEvents@dew.sc.gov</u> of changes in staff access needs, which includes submitting the name and email of staff requiring access and immediately submitting the name and email of staff needing access removed
- Ensuring that large LWDA virtual hiring events (events with 10 or more employers) do not conflict with other LWDA virtual hiring events within the Regional Account
- Managing the activities of the Secondary Admins, event activities, and employer activities in the Local Area Account
- Obtaining approval for and creating events, registering employers, registering job seekers, marketing events, pulling reports, and other responsibilities within the Brazen platform

"Secondary Admins" are assigned by the Primary Admins and are responsible for the following activities:

- Creating Approved Events
- Registering employers
- Registering job seekers
- Assisting with creating and editing booths
- Marketing events
- Running reports as needed
- Other assigned tasks within the Brazen system

A "Virtual Booth" within a virtual job fair is the virtual location within an event where an employer uploads a company logo, content, and job orders for job seekers to visit and view. The job seeker can also apply for available job opportunities and/or web-chat with the employer while visiting the virtual booth.

"Booth Owners" are responsible for the virtual booth within a virtual job fair. The Booth Owner must ensure that Representatives, as defined below, are assigned to work the booth during virtual events. A Booth Owner can be employers, organizations, or staff depending on the type of virtual event. Primary and Secondary Admins assign Booth Owners within the Brazen system. If the booth is a SC Works Booth, as outlined below, the Primary Admin must review the booth design to ensure it complies with all state and local policies and guidelines.

A "Representative" is an individual assigned to respond to chats within the virtual booth during an event. All virtual booths must have a Representative in order to web-chat with job seekers. Primary Admins, Secondary Admins, and Booth Owners can assign Representatives to booths. However, if the booth is a SC Works Booth, the Primary Admins will be responsible for designating the staff person to be assigned the role of Representative. A Booth Owner and Representative can be the same person for a virtual booth.

SC Works Booths and/or Information Booths are highly encouraged at all virtual events. These booths give job seekers the opportunity to ask questions throughout the event and to learn more about SC Works services. The Primary Admin must review the booth design to ensure it complies with all state and local policies and guidelines and assign Representatives to work the booth. Representatives of SC Works Booths and/or Information Booths are not required to be Primary Admins, Secondary Admins, or Booth Owners.

### **Event Requirements**

The Brazen platform is an extension of SCWOS, and staff must adhere to all state issued policies regarding SCWOS. In accordance with State Instruction 17-01, Change 3: Required Employer Registration in SCWOS and Effectiveness in Serving Employers, the employer registration requirement in SCWOS may be waived for job fairs and hiring events in response to mass layoffs and closure activities or worker shortages. Waivers must be authorized at the state level and will be communicated to the local workforce area(s). Any local requests for a waiver must be submitted using the **Local Area Hiring Event Waiver Request** document located in SCWOS under Staff Online Resources.

Prior approval for all virtual events within the Brazen platform is required. Additionally:

- All events must include the following questions in the registration form:
  - "Did you serve in the military?"
  - "Are you an eligible military spouse?"
- All virtual booths must have at least one Booth Owner and one Representative. The Booth Owner can also be the Representative.
- Prior approval is needed in order to activate the "Open Networking" function, which
  allows job seekers to interact with each other during the event with the written
  interaction being visible to all attendees.
- The Regional Account will be responsible for branding determinations for approved events; however, the SC Works logo that includes the AJC reference is required on all events within the landing screen. The Regional Account determines the location of the logo within the landing screen.
- The employer's logo may be used for approved individual hiring events.
- The Brazen survey for job seekers and employers is required.

Upcoming proposed virtual events for approval must be submitted using the **Virtual Events Proposal Form** located in SCWOS under Staff Online Resources. To ensure sufficient review time,

all requests for approval **must be submitted within ten business days prior to the proposed event**. Events are approved on a first come, first served basis. If there is a competing event in another region utilizing the same resources or employers, the event may be denied.

### All Primary Admins and Secondary Admins must:

- Complete the following three Brazen trainings:
  - Administrator Training;
  - Marketing Best Practices Training; and
  - Pre-Event Best Practices Training.

The names and content of these trainings are subject to change as updates are made available.

- "Tag" an event with the LWDA's name. If it is a combined regional event, "tag" all LWDAs involved.
- Avoid changing or deleting events not created by the LWDA or in which the LWDA or region is not "tagged."
- Follow the pre-approval event process as outlined above.
- Work events as needed, including but not limited to, setting up the virtual event (selecting dates, times), assisting employers with event registration, including the verification of SCWOS registration status, and listing job postings on the platform.
- Run reports within the Brazen platform as needed or requested.

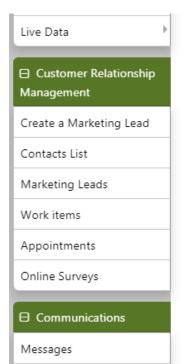
### 1.2 Employer Account Management in SCWOS

Entities meeting the definition of employer must establish an account in the SCWOS system to post employment opportunities and receive applicant self-referrals and staff-assisted referrals of qualified applicants. Staff members are encouraged to provide a high level of customer service by entering accounts on behalf of employers when possible. However, employers may establish an account in SCWOS without staff assistance. An employer's SCWOS account must be verified and fully enabled before receiving all services available to employers through the SC Works system, including display of job orders.

There are two types of employer accounts in SCWOS:

 Marketing Lead—an account type that identifies a nonregistered employer that is not yet ready to utilize SC Works services, but is interacting with the Business Services Team or

services, but is interacting with the Business Services Team or is receiving Rapid Response services. Marketing Lead accounts do not allow the employer to access recruitment tools.



 Recruiting—an account type that offers employers full access to labor exchange functions such as managing job order, reviewing candidate resumes, and managing virtual recruiter alerts. Recruiting accounts must be verified and approved at the state-level.

When an employer is interacting with the Business Services Team, but has not yet decided to utilize SC Works services, staff may create an employer Marketing Lead account through the miniregistration available in the CRM module. If an employer is ready to receive services that can only be provided to a verified and approved employer, staff may bypass the Marketing Lead registration and create a new employer Recruiting account to initiate the verification process. Existing Marketing Lead accounts must be converted to a Recruiting account to place the employer account in a Pending Verification status.

Staff are encouraged to provide a high level of customer service by entering Recruiting accounts on behalf of employers when possible, though employers may establish a Recruiting account in SCWOS without staff assistance.

Recruiting accounts will have one of the following access levels:

- **Pending Verification**—All new Recruiting accounts are created in this status by default for security purposes. Employers in this status will be reviewed by the SCWOS Employer Verification Team.
  - Employers may
    - login,
    - update their profiles, and
    - add locations and additional contacts.
  - Employers may not
    - post a public job order,
    - conduct a candidate search, or
    - access the virtual recruiter system.
  - Staff may only provide services and enter employer service activity codes that are available to non-verified employers.
- Not Verified—The SCWOS Employer Verification Team has attempted to contact the employer, but has been unable to complete the verification process. The information requested by the SCWOS Employer Verification Team will appear in case notes on the employer's account.
  - Employers may
    - login,
    - update their profiles, and
    - add locations and additional contacts.
  - Employers may not
    - post public job orders,
    - conduct candidate searches, or
    - access the virtual recruiter system.

- Staff may only provide services and enter employer service activity codes that are available to non-verified employers.
- Locked Out or Revoked—Any employer account that violates the terms of use or is suspected of suspicious activity will be locked out or revoked. Employer accounts may be locked out if the account is no longer actively used.
  - o Employers may not login.
  - All active job orders are closed.
- **Enabled**—The SCWOS Employer Verification Team has verified the employer and approved the account. The employer has full employer access rights to SCWOS.
  - Employers may
    - manage profiles,
    - edit locations and contacts,
    - conduct candidate searches,
    - create virtual recruiters,
    - schedule hiring events,
    - post public job orders,
    - accept job seeker applications, and
    - contact job seekers using the internal messaging system.
  - Staff may enter all employer service activity codes.

### 1.2.1 Employer Recruiting Account Creation

### 1.2.1.1 Federal Employer Identification Number (FEIN) versus Social Security Number (SSN)

Generally, an employer account requires entry of the FEIN; however, there are two exceptions to this rule:

- If an employer operates a business as a sole proprietor or is employing individuals to work in his/her household, the employer's SSN may be used; or
- If a new business is in the process of obtaining a FEIN, the employer's SSN may be used temporarily, with the expectation that the employer will update the account to provide the FEIN when it is obtained.

### 1.2.1.2 Third Parties Acting on an Employer's Behalf

Third-parties acting on an employer's behalf may create an account for the employer; however, the employer must ensure that the account will be used appropriately. An account created by a third party will not be enabled without the employer's written consent.

To create an account for the employer, the third-party must complete each of the following steps:

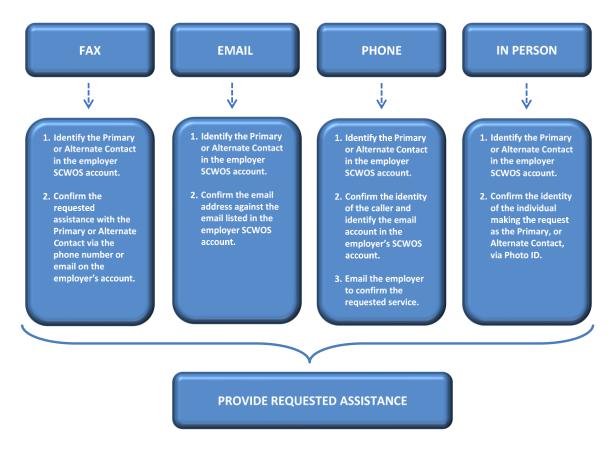
- 1. Register the account under the employer's name
- 2. Use the employer's FEIN, SC employer account tax number, and mailing address

- 3. Enter the third-party as the primary contact
- 4. Include the employer's contact name, address, phone number, and email address as an alternate contact

### 1.2.2 Employer Recruiting Account Management

### 1.2.2.1 Authorized Employer Contacts

A **Primary Contact** is established as an employer-authorized representative with the enabling of a SCWOS employer account. The employer may also choose to add **Alternate Contacts**. Staff must confirm that an individual is one of the authorized contacts on the employer's account before providing assistance with the account, including password reset or job order entry. An employer may contact staff in person or by fax, email, or phone. Staff must follow these procedures to confirm that an individual is a designated contact for the employer before providing assistance with an employer's SCWOS account:



### 1.2.2.2 Changing Employer Contacts

Prior to assisting employers with adding or removing contacts on their account, SC Works staff must first confirm that the new contact is a representative of the employer, authorized to act on the employer's behalf. When employers seek staff assistance in modifying the approved

contact(s) in their account by **adding or removing an alternate contact**, SC Works staff must confirm the change with the primary contact on record prior to making any additions or removals.

If the employer is asking to list a **new primary contact** on the account, SC Works staff must forward the request to the SCWOS Employer Verification Team at <a href="mailto:EmployerAssist@dew.sc.gov">EmployerAssist@dew.sc.gov</a>. State-level staff will then confirm the new primary contact via phone or email. Upon approval, the SCWOS Employer Verification Team will email local staff once the new primary contact has been confirmed. Local staff may then provide all employer services available to the new primary contact on the enabled employer account.

### 1.2.2.3 Changing Employer Passwords

As stated above, staff must confirm that an individual is one of the authorized contacts on the employer's account before resetting the employer's password. An employer's password can be reset by accessing the "General Information" tab on the employer's SCWOS account and by completing the following steps:

- Employer Profiles

  □ Corporate Profile
  □ General Information
  □ Locations
  □ Contacts/Users
  □ Account Summary
  □ Search History Profile
  □ Communications Profile
- 1. Select the link, "Reset Employer Account," at the bottom of the Staff Info section.
- Select the box for "Reset Password." (Staff may select the box for "Reset Security Question/Response" if the employer requests this.)
- 3. Select the option to "Send Email" under Email Options.
- 4. Choose to send the email either from the "System account" or from "Your email address."
- 5. Click "Send."

Staff should advise employers to expect an email to the email address on the account and to check the "Spam" folder as appropriate. When the employer logs into the account again, SCWOS will prompt the employer to create a new password.

### 1.2.2.4 System Required Employer Password Change/Deactivation

Active employer accounts will require a password reset every 90 days in order to remain active. Employers may reactivate accounts made inactive by contacting <a href="mailto:EmployerAssist@dew.sc.gov">EmployerAssist@dew.sc.gov</a>.

### 1.2.2.5 Resolution of Duplicate Employer Accounts

Duplicate employer accounts occur when SC Works staff or an employer creates more than one account for the same employer. Duplicate employer accounts can cause delays for the employer to receive services and could result in inaccurate data for performance reporting. Furthermore, duplicate accounts could lead to noncompliance issues for staff and the LWDA if employer service.

activity codes are entered on unverified accounts. See section 1.2.3 on "Employer Account Verification Process" below for more information on account verification.

Examples of situations where a duplicate employer account is likely:

- Accounts that have the same company name and contact name
- Accounts that have the same company name and FEIN
- Accounts that have the same name, but are spelled differently (e.g., St. Andrews Cleaners vs. Saint Andrews Cleaners)
- Accounts that have been determined to contain outdated or invalid contact information (e.g., a conversation with the HR Director for ABC, Inc., reveals that a contact on the account, John Smith, left 3 months ago, and the SC Works staff discovers that the employer has been using a different SCWOS account.)

**Note**: Some employers may have separate accounts with the same FEIN, but for different locations. Those accounts should not be merged unless the employer requests the accounts to be merged.

When a duplicate account is suspected, staff must follow the instructions attached to the SCWOS Duplicate Employer Account Merge Form before submitting the form to <a href="PolnPro@dew.sc.gov">PolnPro@dew.sc.gov</a>. The merge request form with attached instructions can be found on SCWOS under Staff Online Resources.

### How to request an employer account merge:

- 1. Download the **SCWOS Duplicate Employer Account Merge Form** from the SCWOS Staff Online Resources page. The form is fillable and should be filled out electronically.
- 2. Complete the form with the required information and identify which employer account is to be the "master" account.
  - a. Note: A merge will not change the verification status of the master account. If an enabled account is merged into a "master" account that is unverified, the newly merged account will remain unverified.
  - b. If the desired "master" account does not have the correct FEIN, fill in the information of the account with the correct FEIN in the second section of the form, "Which account contains the employer's correct FEIN" (highlighted in yellow).
- 3. **Attach verification** (e.g., an email or case note) that the employer was contacted and approves of the requested merge.
  - a. Duplicate accounts will not be merged without the required verification documentation.
  - b. If the employer cannot be reached:
    - i. If the employer does not respond to a contact attempt within **5 days**, staff must make a follow-up contact attempt.

- ii. If the employer does not respond to the follow-up contact attempt within 5 days, select the box labeled, "Employer was contacted, but did not respond—inactivate duplicate accounts."
- iii. State staff will then inactivate the duplicate accounts to ensure that no data is lost until the employer approves the account merge.
- 4. Once the form is completed and proof of employer approval/contact attempts is attached, staff must email the form to PolnPro@dew.sc.gov.

### 1.2.2.6 Locking an Employer Account

SC Works staff will provide employment services to all employers, consistent with federal and state laws, regulations, and guidance. Any employer account that violates the terms of use or is suspected of suspicious, prohibited, or illegal activity will be locked out. All business services will be discontinued to employers who misuse the SC Works system until such time as the prohibited activity is corrected or has ended. State-level staff will place an employer account into "Locked Out" status if:

- An employer can no longer be positively identified as a legitimate business;
- The account is believed to have been hacked or compromised; or
- It is determined that an employer has violated the SCWOS Terms and Conditions or Equal Employment Opportunity Commission (EEOC) guidelines.

Employers in this status are unable to login and all active job orders are closed. Employer accounts established by individuals or organizations found to be posing as an employer will be placed in "Locked Out – Scam" status.

### 1.2.2.7 SCWOS Employer Services Alerts

In order to better manage employer services, business services staff has access to alerts in SCWOS that can be set as reminders for required actions on employer and job order profiles, including the following:

### • Employer Profile

- o Employer changed general Profile Information
- o Employer changed Worksite Profile Information
- Employer changed Contact Information
- Employer Created Job Order by Assigned Case Manager
- Employer Referral to Job Order by Assigned Case Manager

### Job Order

- Job Orders about to Expire
- Employer Posted/Modified a Job Order

### 1.2.3 Employer Account Verification Process

DEW and other partners of the SC Works system have an obligation to the job seekers who use the system to ensure that promoted employment opportunities represent legitimate job openings. The SC Works system also has a responsibility to partner with business and industry. Employer registration in the SCWOS database is a key step in meeting the hiring, training, and retention needs of businesses, while ensuring authentic employment opportunities for job seekers. The employer verification process is one of the safeguards that helps ensure the integrity of the system.

There are two stages to the Employer Verification Process: Local Level and State Level.

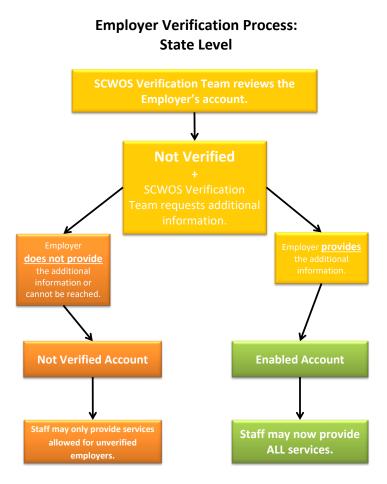
### <u>Employer Verification Process: Local</u> Level

staff reaches out to area Local employers about available SC Works services. If the employer is unsure about receiving services, local staff creates a Marketing Lead Account while the Business Services Team continues a relationship building with the employer. When an employer decides to utilize SC Works services, such as posting job orders and participating in SC Works job fairs. the employer may independently create a Recruiting Account, or local staff can create a Recruiting Account for the employer or convert a Marketing Lead Account into a Recruiting Account. This step puts the employer's account into Pending Verification status, triggering the statelevel verification process.

# Employer is not sure about receiving services. Staff creates a Marketing Lead Account. Business Services Team continues building relationship with Employer. Staff creates a Recruiting Services Account.

### **Employer Verification Process: State Level**

Once the state-level verification process is triggered, the SCWOS **Employer Verification Team reviews** the employer accounts that are in Pending Verification status and takes reasonable steps to verify the authenticity of the employer. Steps may include, but are not limited to: (1) corresponding with the registrant by telephone or e-mail; (2) reviewing the company's web site, using various Internet search systems to corroborate the information given in the registration; and, (3) crossreferencing the FEIN and SC UI tax account number provided in the registration with existing DEW and/or records, reviewing employer's records in the similar state system if the registrant is an out-of-state employer. Registrant information to be verified for all employer accounts must include:



- FEIN or SSN;
- SC UI Tax Account Number\*;
- Company telephone number, address, and corporate address, if applicable; and
- Human Resources contact name, telephone number, and email address.

\*All employers are required to establish an account in the State Unemployment Insurance Tax System (SUITS) in order to receive a SC UI Tax Account Number; however, some employers may not be liable for SC unemployment taxes. Employers who are not liable will receive a letter confirming this information. This letter will be filed by the SCWOS Employer Verification Team in lieu of requiring a SC UI Tax Account Number. Employers should be prepared to provide their SC UI Tax Account Number or a denial letter during the employer verification process.

The SCWOS Employer Verification Team places the employer into Not Verified status pending receipt of employer confirmation or submission of any additional required information. Once the employer provides the requested information to the SCWOS Employer Verification Team, statelevel staff will change the account status to Enabled. Staff may provide all services available to employers at this point. If the employer cannot be reached or does not provide the required information, the employer's account will remain a Not Verified account, only eligible for

29

employer services that are allowed for unverified employer accounts. The SCWOS Employer Verification Team will enter a corresponding case note in SCWOS indicating why the employer's account has not been enabled. Typically, the review process will be completed within three business days of receiving the registration. Employers receive an alert notification in SCWOS once their account is verified and fully enabled. For additional information about the types of services available to Not Verified or Enabled employer accounts, see State Instruction 17-01, Change 1: Required Employer Registration in SCWOS.

### **Employer Account Status**

To view an employer's account status in SCWOS, select the appropriate employer account and open the **General Information** tab. Employer access rights will be listed as "Enabled" for fully registered and approved employers.



### Work Items in the CRM Module

Previously, staff was required to record all services for non-verified employers through Work Items in the CRM module rather than employer service activity codes. However, under the new WIOA ESE performance indicator requirements, employer service activity codes must be recorded for these services to accurately reflect employer engagement efforts. The following employer service activity codes should be entered in place of the Work Items as listed below:

### E01: On-Site Consultation Services

Site Visit

### E07: Promotional Contact

- Email
- Mail
- Face-to-Face Meeting
- Phone Call
- Research
- Webinar/Demo

### 1.3 Job Orders

A **job order** is an online record of an employer's requirement for filling a vacant position. Job orders must contain the qualifications that a worker must have to successfully perform a job, any specific hiring requirements, and referral instructions.

A job order **must not contain** any of the following:

- Wording that is sexually explicit, obscene, libelous, defamatory, threatening, harassing, abusive, or hateful
- Wording that is embarrassing or offensive to another person or entity
- Request for personal services implying or requiring sexual or any other illegal activity
- Discriminatory language

Additionally, staff must remove job orders that do any of the following:

- Contains discriminatory specifications that would exclude applicants based on race, color, religion, national origin, sex, or age
- Seeks to fill a position involved in a labor dispute
- Requires a job seeker to pay a fee to be referred to an employer
- Requires a monetary investment by the job seeker
- Requires the applicant to attend unpaid training
- Indicates that the job seeker will not be paid according to the state's minimum wage laws
- Indicates the job seeker will not receive overtime pay for working over 40 hours in any week, unless the position is considered exempt
- Requires/refuses labor organization membership
- Pre-designates a list of job candidates that the employer will consider to the exclusion of other qualified job seekers
- Exists when there is no immediate vacancy
- Requires the job seeker to perform an illegal activity
- Asks job seekers to report to an address that is not a normal place of business, such as a hotel or motel room
- Contains explicit sexual or vulgar language

**Note:** Job orders for independent contractors are not allowed in SCWOS. Independent contractors receive IRS form 1099, rather than IRS form W-2, and are generally considered to be self-employed. Organizations submitting these job orders are not employers for the purposes of the SC Works system, and are not eligible for services to employers.

DEW will refuse or remove job orders that are in conflict with any federal or state laws, regulations, and guidance.

### 1.3.1 Equal Employment Opportunities

Employers must provide equal employment opportunities without regard to race, color, religion, gender, age, national origin, or disabilities in compliance with federal and state laws and amendments including the Immigration and Nationality Act of 1952 (INA), the Equal Pay Act of

1963 (EPA), the Civil Rights Act of 1964, the Equal Employment Opportunity Act of 1972, the Age Discrimination in Employment Act of 1967 (ADEA), the Rehabilitation Act of 1973 (Rehab Act), the Vietnam Era Veterans Readjustment Act of 1974 (VEVRAA), the Pregnancy Discrimination Act of 1978, the Bankruptcy Reform Act of 1978, the Immigration Reform and Control Act of 1986, the Americans with Disabilities Act of 1990 (ADA), the ADA Amendments Act of 2008 (ADAAA), and the Genetic Information Nondiscrimination Act of 2008 (GINA).

### 1.3.2 Labor Disputes

During a labor dispute, such as a strike or employee lockout, no job order will be accepted and no job referral will be made directly or indirectly to fill a job opening that is at issue in the labor dispute. Staff must not accept or work existing job orders from temporary staffing companies or staff leasing companies for positions at issue in a labor dispute. SCWOS may not be used as a service to recruit replacement workers in a labor dispute.

### 1.3.3 Fees for Consideration

The Wagner-Peyser Act and associated regulations prohibit DEW from accepting job orders for positions for which the individual must pay an employer:

- A fee in order to be considered for employment (i.e., an agency or employer fee);
- A fee for employer-provided training in order to be considered for employment (i.e., training fee); or
- A fee to invest in materials, goods, services or equipment and/or to go into business (e.g., franchise fees, licensing fees, purchase of Direct Selling "kits"), and/or any similar arrangement that requires an individual to pay the advertising employer or third-party acting on behalf of the employer.

If a job seeker, responding to a job order, reports to DEW staff that the employer has asked for money or payment of any type of fee similar to the fees/payments described above, DEW will cease referrals and will not display the job order while investigating the matter.

### 1.3.4 Union or Non-Union Specifications

DEW does not accept job orders with language that conflicts with the South Carolina Right to Work law (S.C. Code Ann. § 41-7-10 et seq.), to include denial of job orders that require/refuse labor organization membership in order to be considered for employment.

### 1.3.5 Submission of Job Orders

Employers may enter job orders at any time after creating a Recruiting Account; however, the account must be verified before job orders display to the public. Employers or staff, at the employer's request, may enter job orders; however, staff must verify that the individual requesting the job order is a representative of the employer with authority to recruit on behalf of the employer.

### 1.3.6 Review of Job Orders

The review of an employer-posted job order in SCWOS is conducted by ES staff to identify deficiencies and ensure the employer and jobseeker can be matched appropriately. It is the responsibility of Business Consultants to correct orders that do not follow the SCWOS standards for job orders. Requirements for the job must be clearly stated and the process of applying must be clearly defined. State level staff monitor job orders marked as "reviewed" by local staff for consistency in ensuring guidelines are followed as directed.

Staff must review job orders on enabled accounts within 2 business days of receipt of the job order. As part of this review process, staff must complete each of the following steps.

- 1. Verify that the employer has an enabled account.
- 2. Review the job order for clarity and completeness.
- 3. Make minor technical adjustments or edits needed for the job order to display properly.
- 4. Contact the employer to review the recruitment plan and to review any changes to the job order necessary to conform to federal and state laws, regulations, and guidance.
- 5. Match the job order against qualified veterans in SCWOS and notify qualified veterans about the job order.
- 6. Match the job order against qualified general public individuals in SCWOS and notify qualified individuals about the job order.
- 7. Refer the qualified candidates per the employer's instructions in the job order.

**Note**: DEW uses a variety of means to refer qualified candidates to job orders posted by employers. DEW does not have access to criminal history records. Reviews of candidates' backgrounds are limited to work and educational history. DEW does not conduct any further investigations, such as criminal background checks, before referring a candidate.

### Private/Temporary Employment Agencies

Staff may refer job seekers to private employment agencies. However, staff must advise job seekers who are referred to these job orders, including UI claimants, that the positions are being filled through a staffing company. Staff must ensure that job orders from staffing companies are accepted only if the staffing company:

- is a valid employer,
- has a current and available job vacancy with the client/employer, and

does not charge the job seeker a fee to secure or keep the job.

### 1.3.7 Posting a Job Order

New job orders display only to veterans before becoming available to non-veterans. All job orders are placed in a "Veteran Hold" status the day of the job order posting to allow qualified veterans and other covered persons the exclusive opportunity to view and receive a referral prior to non-veterans. In SCWOS, veteran holds are released during overnight processing on Monday – Friday. Overnight processing does not run on national holidays. The job order will be opened to the general public after the veteran holding period has expired.

**Example**: A job order is entered Wednesday at 6:00AM. The "Veteran Hold" will be lifted at 12:01AM Friday during overnight processing. The "Vet Hold" was in place for at least 24 hours and over the course of one full business day.

The default setting for displaying job orders is 90 days. Employers may adjust the job order display time if desired. However, employers who are posting jobs for Foreign Labor Certification (see <a href="section1.5">section 1.5</a> below) visas are required to recruit for the specified times outlined by the U.S. Department of Labor. Program requirements, summarized below, are subject to change, and exemptions may apply in certain cases. For additional information, see: <a href="https://foreignlaborcert.doleta.gov/">https://foreignlaborcert.doleta.gov/</a>.

Permanent and H1B Specialty Workers	H-2A Agricultural Guest Worker Program	H-2B Non-agricultural Guest Worker Program
Post/recruit for 30	Post/recruit for half of the	Post/recruit for 10
consecutive days	contract period	consecutive days

**Note**: Job orders submitted to meet Foreign Labor Certification requirements are reviewed by state-level staff who specialize in Foreign Labor Certification requirements, and thus local staff does not edit these job orders.

Staff may quickly identify an H-2A/B job order by scrolling to the bottom of the job order.

### 1.3.7.1 Federal Requirements to Post Internal Job Positions

Most employers are not legally required to post any job listing, although many do so to avoid the appearance of illegal discrimination. However, some contractors who do business with the US government are required to post most of their employment opportunities through the state job listing service.

### **Hidden Jobs**

Rather than going through the process of listing a job and interviewing numerous applicants before choosing one candidate, many managers prefer to either hire someone from within the

company or to hire someone through their personal network of contacts. Unlisted jobs like this are called "hidden" jobs, because a person looking for work may never even know they exist. This seems unfair to many people, but most employers are legally within their rights to hire without listing the position.

### **Phantom Jobs**

Even though most companies don't have to list a job if they already know who they want to hire, many companies are cautious about taking this approach. A policy of hiring internally or through personal contacts can be used to cover up a pattern of discrimination, and the company would have a hard time proving it didn't discriminate when it made no attempt to reach out to qualified minority candidates. To protect against this risk, some companies have a policy of listing every job opening even when they've already decided on a certain candidate. These jobs are called "phantom" jobs, because even though the job opening is listed publicly the job is actually not available.

### **Federal Regulations**

Federal requirements to post job listings only apply to contractors who do at least \$100,000 of business per year with the federal government. Contractors covered by the regulations are required to post their job openings with state and local job banks so those organizations can let qualified veterans know about the job opening. The regulation exempts any executive or high-level managerial positions, any temporary jobs lasting three days or less and any internal hires. Its purpose is to ensure that qualified veterans receive access to more job opportunities. However, since it doesn't apply to internal hires it only prevents contractors from hiring personal contacts without listing the position.

### **Covered Entities**

In some cases, a particular workplace may be required to post a job listing even though it doesn't do any business with the federal government. If another division of the same company is covered by the regulations, all divisions must comply even if they don't have any operations in common. The same is true if another company with overlapping directors, officers or owners does enough business with the federal government to come under the regulations.

### 1.3.8 Job Order Referrals

After an employer enters a job order in SCWOS, the process of referring qualified candidates to the employer should begin immediately. A Job Order Referral is the record of referring a qualified applicant or group of applicants who are available for specific job openings to an active job order in SCWOS. Job seekers registered in SCWOS are the primary source for qualified candidates. SC Works staff must adhere to the following guidelines for the referral and placement process:

 Give eligible Veterans priority over all other equally qualified individuals in the receipt of services funded in whole or in part by DOL. This process is also automated in SCWOS during the "Veteran Hold" period.

- Do not extend referral preference to any job seeker or group of job seekers, except in accordance with legal requirements, such as the veterans' priority of service provision.
- Ensure that only job seekers suitably qualified for job openings are referred to employers.
  Referring an unqualified job seeker to an employer is a disservice to both the employer
  and the job seeker. Failure to screen job seekers according to the employer's
  specifications results in the loss of the employer's confidence and will eventually compel
  the employer to seek assistance elsewhere.
- Do not recruit for a position where the services to be performed or the terms or conditions of employment violate federal or state law. Such postings should be removed and the account put on hold while the employer is contacted by local staff to ensure a similar job order is not posted in the future.

Directing a job seeker to attend a job fair is **not** a job referral.

- Search for job seekers with occupational experience similar to that of the job order. If this approach fails, extend the search to include related occupations.
- When possible, refer job seekers to job orders that use their highest skills.
- Discuss the job opening with the job seeker to ensure the terms and conditions of the job
  are acceptable prior to making the referral. Enter all job orders contacts and referrals in
  SCWOS at the time the referral is made.

#### 1.3.8.1 Self-Referral Process

A job seeker can submit a self-referral without the direct aid of SC Works staff by selecting the "How to apply for this job" button at the top of the screen. Staff should review the self-referral within 24 hours to confirm that the job seeker is qualified for the job as outlined by the employer. If qualified, staff approves the referral and the job seeker receives a referral notice explaining the remaining steps to apply for the position. The job seeker receives a generic message if he or she is not qualified for the position. To access a list of self-referred candidates, select the following in SCWOS:

- Manage Labor Exchange
- Referrals Pending Review
- Select LWDA
- Select SC Works location
- Click Search

#### 1.3.8.2 In & Out Job Orders

Staff receives hire lists and notices periodically from employers and other organizations that use SC Works employment services. This information should be reviewed to determine if a previously made referral or job development attempt (JDA) resulted in a positive outcome for a SC Works customer. A JDA occurs when a staff member contacts a registered employer on behalf of an individual or group of individuals to develop a possible employment opportunity where no known

job openings or active job order currently exists. An In & Out Job Order is the process of reopening or creating a non-existing job order for the purpose of capturing a positive outcome for a legitimate referral or JDA for which the outcome was unknown at the time the job order closed or the JDA occurred.

An In & Out Job Order should not be displayed to the public and should clearly indicate it was reopened or created solely for the purpose of capturing a positive outcome. The In & Out Job Order must include the original job order number (if applicable) and the original date of the referral or JDA.

#### **Placement Reporting Process:**

- Look up each newly-hired individual in SCWOS using their state ID and/or customer personal contact information and ensure the individual's account has an active WP Application before reporting the placement.
  - a. Account does not exist—contact the customer to create account and complete WP Application.
  - b. Account exists without an active WP Application—contact the customer to complete the WP Application.
  - c. Account exists and has an active WP Application—continue to Step 2.
- 2. Review the referrals and JDAs listed in the individual's SCWOS account to see if a referral or JDA was made to the hiring business.
- 3. Complete one of the following actions:
  - a. If the individual received a job referral to the listed business and job opening, the closed job order should be reopened to record the positive outcome and then immediately closed; or
  - b. If the individual received a JDA to the hiring business, a job order should be created to record the positive outcome and then immediately closed.

**NOTE**: The overall goal of DEW-administered workforce programs is providing services to promote long-term, stable employment, rather than obtaining a temporary placement credit.

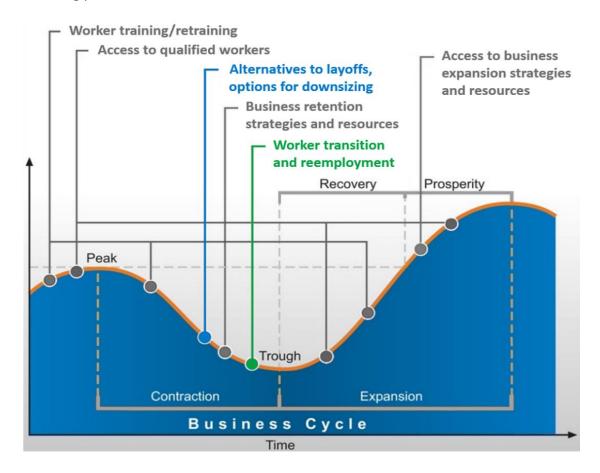
To record a JDA, staff must—

- Enter activity code 123: Job Development Attempt/Contact; and
- Enter a case note with the following information:
  - Employer's name,
  - o Result of the JDA, and
  - Job title(s), if available.

#### 1.4 Rapid Response

Rapid Response is a required activity funded by DOL with the goal of enabling affected workers to return to work as quickly as possible following a layoff. The SC Rapid Reemployment Team

identifies and responds to downsizing and closures that impact SC workers. Rapid Response services can be utilized at various stages within the Business Cycle, not just during the contraction or downsizing phase.



There are four stages to Rapid Response:

- **Discovery and Notification**—the State Rapid Reemployment Team is notified of a potential layoff.
- Management Meeting—a Management Meeting Team meets with the employer at an initial meeting. The circumstances for the anticipated layoff are discussed along with the demographics of affected workers. Descriptions of informational sessions and services are provided to the employer.
- **Group Informational Sessions**—group informational sessions are provided to inform impacted workers of available reemployment services and to prepare them for job search activity prior to their anticipated layoff.
- Onsite Reemployment Services—if the employer chooses to allow these services, customized onsite services to address workers' unique needs for reducing unemployment are provided to the impacted workers. These services can include resume building, interviewing skills, SCWOS registration, basic computer literacy, UI benefits, etc. Job fairs may also be offered and can be held either onsite or offsite.

Early intervention helps affected workers make informed decisions concerning their future. Providing workers information and adjustment/re-employment services before layoffs also generates a more positive attitude toward employers. It better maintains production levels as well. Pre-layoff meetings benefit employers, employees, the workforce system, and the community, ensuring a smoother transition and better re-employment success for layoff-affected employees and families.

Local staff is often the first to be notified of workforce transitions. To allow the maximum amount of time for the development and implementation of aversion and reemployment strategies, it is critical that SC Works staff quickly notifies the State Rapid Reemployment Team of any actual or suspected layoff events. When local staff becomes aware of a possible layoff, closure, downsizing, etc., staff should share this information with the State Rapid Reemployment Team via email at <a href="RR@dew.sc.gov">RR@dew.sc.gov</a> with the following information: company name, company point of contact, and relevant information.

For more information on Rapid Response services, refer to the <u>Rapid Response Manual</u> available on SCWOS under Staff Online Resources.

#### 1.4.1 Rapid Response IWT

DOL encourages state and local Rapid Response operators to design innovative solutions for both businesses and workers in transition, including developing, funding, and managing IWT programs or other worker upskilling approaches as part of a layoff aversion strategy or activity. Rapid Response IWT provides funding for training to assist a business or group of businesses in averting a layoff or closure. A layoff is considered averted when:

- A worker's job is saved with an existing employer that is at risk of downsizing or closing,
- A worker at risk of dislocation transitions to a different job with the same employer or a new job with a different employer and experiences a minimal period of unemployment.

Rapid Response IWT can only be used when IWT is part of a broader layoff aversion strategy or activity, and is restricted to skill attainment.

See section 3.2.5 for more information about IWT programs.

#### 1.5 Foreign Labor Certifications

Hiring foreign workers for employment in the U.S. normally requires approval from several government agencies. For ES purposes, all foreign labor issues are handled at the State level, not local SC Works centers. The employer's request for labor certification is processed by DOL. Currently, the only program DEW is directly involved with is the H-2A, temporary agricultural program. The H-2A program allows agricultural employers who anticipate a shortage of domestic

workers to bring nonimmigrant foreign workers to the US to perform agricultural labor or services of a temporary or seasonal nature. Employment is of a seasonal nature when it is tied to a certain time of year by an event or pattern, such as a short annual growing cycle, and requires labor levels above what is necessary for ongoing operations. Employment is of a temporary nature when the employer's need to fill the position with a temporary worker will, except in extraordinary circumstances, last no longer than one year. DOL must determine that:

- There are not a sufficient number of able, willing, and qualified US workers available to perform the temporary and seasonal agricultural employment for which nonimmigrant foreign workers are being requested; and
- The employment of H-2A workers will not adversely affect the wages and working conditions of similarly employed US workers. The DOL Wage and Hour Division is responsible for enforcing provisions of worker contracts.

The foreign labor certification process is the responsibility of the employer, not the employee; however, the employee can benefit from understanding these programs. First, employers must seek labor certification through DOL. Once the application is certified, the employer must petition US Citizenship and Immigration Services (USCIS) for a visa. Approval by DOL does not guarantee a visa issuance. The Department of State (USDOS) will issue an immigrant visa number to the foreign worker for US entry. Applicants must also establish that they are admissible to the US under provisions of the Immigration and Nationality Act of 1952.

Although each foreign labor certification program is unique, there are similar requirements that the employer must complete prior to the issuance of a labor certification. In general, the employer will be required to complete these basic steps to obtain a labor certification:

- The employer must ensure that the position meets the qualifying criteria for the requested program;
- The employer must complete the ETA form designated for the requested program. This
  may include the form and any supporting documentation (e.g., job description, resume
  of the applicant, etc.);
- The employer must ensure that the wage offered equals or exceeds the prevailing wage for the occupation in the area of intended employment;
- The employer must ensure that the compliance issues affected upon receipt of a foreign labor certification are completely understood;
- The completed ETA form is submitted to the designated DOL office for the requested program; and
- The employer is notified of the determination by DOL.

The **H-1B Labor Condition Application Certification** allows employers to bring foreign workers in specialty occupations, who have a Bachelor's Degree or higher in the specific specialty, into the US on a temporary basis. The initial review is conducted by the DOL Regional Office and requires that form ETA 9035 be submitted in duplicate. Foreign Labor Certification (FLC) provides

prevailing wages upon request. The review must be completed and mailed within 7 calendar days. The approval is good for three years and can be renewed once.

**H-2A Temporary Agricultural Certification** refers to the process of obtaining one or more foreign workers during a specific activity period. For temporary agricultural work status, employers must file form ETA 9142 and ETA 790, the Agricultural Clearance Form with the DOL Regional Office. The Regional Office contacts FLC to place a job clearance order and obtain a prevailing wage survey for the particular job in the area. Seasonal applications must be filed at least 60, but more than 120 days, prior to the start date of the job.

**H-2B Temporary Certification** refers to the process of bringing one or more foreign workers into the US to work on a temporary non-agricultural basis. Employers must file form ETA 9142 in duplicate with FLC for initial review. The FLC transmits the application to the DOL Regional Office for final review and determination. Temporary Labor Certification is good for one year.

**Permanent Labor Certification** refers to the process of bringing a foreign worker into the US to work on a full-time permanent basis. Employers must obtain a Labor Certification form from DOL prior to filing for permanent residency with USCIS. The Labor Certification process requires an employer to file Form ETA 9089 online (<a href="http://www.plc.doleta.gov">http://www.plc.doleta.gov</a>) or by mail (DOL, ETA, 844 N. Rush Street, 12<sup>th</sup> Floor, Chicago, IL 60611).

Additional information regarding Foreign Labor Certifications, including required forms, can be found at <a href="https://www.dol.gov/agencies/eta/foreign-labor">https://www.dol.gov/agencies/eta/foreign-labor</a>. Information regarding posting a job order under foreign labor certifications can be found in <a href="mailto:section1.3.7">section 1.3.7</a> above.

#### 1.6 Work Opportunity Tax Credits

The Work Opportunity Tax Credit (WOTC) is a federal tax credit incentive provided to private-sector businesses that hire individuals from the following 9 target groups that have historically faced significant barriers to employment:

- Unemployed Veterans (including disabled veterans)
- Temporary Assistance for Needy Families (TANF) Recipients
- Supplemental Nutritional Assistance Program (SNAP) Recipients
- Designated Community Residents (in Empowerment Zones or Rural Renewal Counties)
- Vocational Rehabilitation Referred Individuals
- Ex-Felons
- Supplemental Security Income (SSI) Recipients
- Summer Youth Employees (living in Empowerment Zones)

The tax credit incentives are for limited time periods, so the goal is to enable these targeted employees to move to self-sufficiency and become employees whose value to employers is sufficient enough to retain the individuals once the tax credit has ended.

#### 1.7 Labor Market Information for Employers

The Business Intelligence Department (BI or BID) is responsible for a wide variety of statistical and analytical programs and data, including Labor Market Information (LMI). BI's primary mission is to provide accurate and timely workforce data to public and private decision makers involved in workforce and economic development. BI provides this information through publications, electronic dissemination, mail, and telephone. BI conducts special studies upon request and makes presentations to a wide variety of customer groups across the state.

Employment statistics, job forecasts, prevailing wages, demographics, and other LMI data help public and private organizations, researchers, and others better understand today's complex workforce. The information helps monitor and forecast national, state, and local economic trends, enabling employers and job seekers to make informed career and education decisions. Better understanding of employment trends and statistical trends helps promote stable employment and economic growth. For example, if a company was considering SC as a location for possible expansion of its business, LMI would be a valuable tool in surveying the area's demographic, economic, and educational statistics.

A <u>Community Profile Report</u> is a comprehensive report with economic, demographic, industry, occupation, and education statistics for counties, metropolitan statistical areas, workforce development areas and regions. Business services staff should rely heavily on the reports, especially when assisting local employers with the following:

- Job openings information (reviewing potential candidates, assessing the local labor supply)
- Occupation details (typical work experience and education requirements, education training programs)
- Statistical information (employment wage statistics, occupational outlook, projected annual openings)

The <u>Community Profile Desk Reference</u> is available to assist staff with understanding, utilizing, and effectively communicating information found in the report. Staff is strongly encouraged to keep the document readily available for use when working with employers and job seekers.

#### 1.8 Career Readiness Assessments

Prior to PY 2018, the state contracted with WorkKeys for career readiness assessments for job seekers. However in early 2018, SC awarded a new contract to WIN Learning. While employers may still request that prospective employees take WorkKeys, funding will only be available for WIN Learning assessments.

#### 1.8.1 WIN Learning

WIN Learning, through its WIN Career Readiness System, offers the Ready to Work Credential and the Essential Soft Skills Credential that employers can use to recruit, retain, and grow jobs that lead to individual and economic prosperity. The Ready to Work Credential certifies essential skills needed for workplace success and is achieved by taking three WIN Learning assessments in the following areas:

- **Applied Mathematics Assessment**—measures workplace mathematical reasoning and problem-solving skills from basic addition, subtraction, multiplication, and division to multiple math functions like calculating percentage discounts and markups.
- Reading for Information Assessment—measures reading comprehension and reasoning skills using written workplace texts including memos, letters, directions, signs, notices, bulletins, policies, and regulations
- Locating Information Assessment—measures comprehension and application of workplace graphics such as charts, graphs, tables, forms, flowcharts, diagrams, floor plans, maps, and instrument gauges

The assessments are generally scored on a scale from 3 to 7, and the credential may be earned on 4 credential levels: bronze (level 3), silver (level 4), gold (level 5), and platinum (level 6). The credential level is determined by the lowest score across all three tests.

The Essential Soft Skills Credential measures work habits and employability skills that are essential to gain and maintain employment including conflict resolution, cooperation with others, critical observation, problem solving, decision making, and personal responsibility for learning. These skills are essential in reducing turnover, improving productivity, and maximizing on-the-job training. The assessment requires the test taker to choose two answers for each question, the "best" and "worst" answers for handling each situation.

For additional preparation, an online self-paced courseware helps learners and job seekers prepare for the Ready to Work and Essential Soft Skills assessments.

#### 1.8.1.1 Entering WIN Assessment Results in SCWOS

Employers may request job seeker referrals based on WIN scores, typically through one of the credential levels: bronze, silver, gold, and platinum. Unfortunately, SCWOS does not have a method of entering both WIN and WorkKeys results into the system at this time. To enter WorkKeys results, staff will continue to enter this information in WorkPlace Skills under the Assessments tab in an individual's SCWOS account. However, staff must enter WIN results under "Other Assessments."

The Other Assessment screen has a large list of possible test selections, but the rest of this screen consists of three generic fields (Assessment Date, Test Result, and Comments). Select the WIN assessment from the dropdown menu for Test Given. In order to pull a report that will list individuals achieving a certain credential level, staff should **enter one of the following test result options in the Test Result field: Bronze, Silver, Gold, or Platinum.** Staff may then enter the results for the individual assessments in the Comments section.

#### 1.8.2 ACT WorkKeys

Similar to WIN Learning, the ACT WorkKeys National Career Readiness Certificate (NCRC) is an assessment-based credential issued at four levels (bronze, silver, gold, and platinum) and verifies skills proficiency in various cognitive skills, including problem solving, critical thinking, workplace reading comprehension, workplace mathematical reasoning, and interpreting workplace information presented graphically. Individuals can earn an NCRC by taking the following three ACT WorkKeys exams:

- Applied Math
- Graphic Literacy
- Workplace Documents

#### 1.9 HIRE Vets Medallion Program

The purpose of the HIRE Vets Medallion Award Program is to recognize employers who hire and retain veterans by establishing employee development programs and veteran specific benefits to improve retention. Award recipients will have the opportunity to utilize the medallion in the marketing of their firm as a veteran-ready business, valuable in both recruiting and promotion. Competition for the award should lead to an increased focus on hiring and retaining veterans for the significant skill sets they bring to the American workforce. Given the unique role SC Works has in working with employers and veterans, the system can be a strong advocate for the HIRE Vets Medallion Program, ensuring that local employers are recognized for their commitment to hiring our nation's veterans.

Applications from employers that meet the criteria established will receive a HIRE Vets Medallion Award for each year they apply. Employers may apply annually. There are two award tiers, Platinum and Gold, for large (500+ employees), medium (51-499 employees), and small employers (50 or fewer employees). Details on current criteria for each award tier can be found at www.HIREVets.gov.

DOL uses the following timeline in awarding HIRE Vets Medallion Awards:

- January 31—DOL will begin requesting applications by this date.
- April 30—Applications are due to DOL.
- October 11—DOL notifies employers that will receive the HIRE Vets Medallion Awards.
- Veterans Day—DOL will announce the names of award recipients around Veterans Day each year.

Local areas should develop strategies to expand the awareness of and participation in the HIRE Vets Medallion Program, including but limited to:

- Utilizing local business services teams, including LVERs, to inform local employers about the program and its benefits; and
- Engaging other WIOA partners to further promote the program.

All SC Works staff are encouraged to visit <a href="www.HIREVets.gov">www.HIREVets.gov</a> and the <a href="www.HIREVets.gov">Workforce GPS HIRE Vets</a> <a href="mailto:page">page</a> to become familiar with the program and review the resources available, including program fact sheets, which should be incorporated with local employer outreach materials. Employer inquiries, including how to apply for the program, should be referred to the DOL HIRE Vets Medallion Program website or by email to <a href="mailto:HIREVets@dol.gov">HIREVets@dol.gov</a>.

Staff must enter **employer service code E31: HIRE Vets Medallion Program** in SCWOS when program information is shared with an employer. A **corresponding case note** must also be entered that includes the employer name and date the HIRE Vets Medallion program was promoted.

## Part 1: Relevant State Instructions

SI 12-07: Business Class Size

SI 17-01, Change 3: Required Employer Registration in SCWOS

SI 18-10: HIRE Vets Medallion Program

SI 19-01: Managing Employer Accounts in SCWOS and the Employer Verification Process

SI 19-02: Managing Job Orders in SCWOS

SI 20-13: Rapid Response Incumbent Worker Training Policy

# Part 2: Job Seeker Services

#### 2.1 Career Services

WIOA merges WIA's core and intensive services into a new category—career services—including basic and individualized services, and unlike WIA, career services can be provided in any order as there is no sequence of service requirement under WIOA. Any job candidate authorized to work in the United States may register for labor exchange services in SCWOS without regard to place of residence, current employment status, or occupational qualification. Labor exchange services are the primary services provided by ES staff and fall under basic career services. Basic career services include services such as LMI, job listings and referrals, and information on partner programs. Individualized career services are to be provided as appropriate to assist individuals in obtaining or retaining employment. A key component in determining which level of service is needed is an initial assessment of a customer's knowledge, skills, aptitudes, and abilities to support the employment goal, and to identify supportive service needs.

Services to job seekers are provided in all SC Works centers for individuals who prefer in-person services. Computer savvy job seekers may choose to conduct business from a remote site rather than visit an SC Works center while others will prefer on-site services. Customers with little or no computer experience will be the candidates most in need of direct staff assistance. Public access computer areas, such as a SC Works center resource room, should be monitored closely by staff to watch for job seekers wanting or needing staff-assisted services.

#### 2.1.1 Basic Career Services

Basic career services must be made available to all job seekers and include the following:

- Determinations of whether the individual is eligible to receive assistance from the adult, DW, or youth programs
- Outreach, intake (including identification through the Worker Profiling and Reemployment Services system of UI claimants likely to exhaust benefits), and orientation to information and other services available through the SC Works delivery system
- Initial assessment of skill levels including literacy, numeracy, and English language proficiency, as well as aptitudes, abilities (including skills gaps), and supportive service
- Labor exchange services, including:
  - Job search and placement assistance and, when needed by an individual, career counseling which includes
    - Provision of information on in-demand industry sectors and occupations (as defined in WIOA § 3(23))
    - Provision of information on nontraditional employment (as defined in WIOA § 3(37))
- Provision of referrals to and coordination of activities with other programs and services, including those within the SC Works delivery system and, when appropriate, other workforce development programs

- Provision of workforce and labor market employment statistics information, including the provision of accurate information relating to local, regional, and national labor market areas, including:
  - Job vacancy listings in labor market areas
  - o Information on job skills necessary to obtain the vacant jobs listed
  - Information relating to local in-demand occupations and the earnings, skill requirements, and opportunities for advancement for those jobs
- Provision of performance information and program cost information on eligible providers of training services by program and type of providers
- Provision of information about how the local area is performing on local performance accountability measures, as well as any additional performance information relating to the area's one-stop delivery system
- Provision of information relating to availability of supportive service or assistance, and appropriate referrals to those services and assistance including:
  - Child care
  - Child support
  - Medical or child health assistance available (Medicaid Program and Children's Health Insurance Program)
  - Benefits available through SNAP
  - Assistance through the earned income tax credit
  - Housing counseling and assistance services sponsored through HUD
  - o Assistance, other supportive services, and transportation provided under TANF
- Assistance in establishing eligibility for programs of financial aid assistance for training and education programs not provided under WIOA
- Provision of information and assistance regarding filing claims under UI programs, including meaningful assistance to individuals seeking assistance in filing a claim

#### 2.1.1.1 Job Search Ready

Customers who possess the following are considered to be "job search ready" and must be provided basic career services that include information on the following.

- An occupational goal with a favorable market outlook (determined by using LMI and tools in the SCWOS database)
- The knowledge, skills, aptitudes, and abilities required for the occupational goal
- No barriers that prevent obtaining and retaining employment

These individuals should not require referral to a Workforce Consultant or provision of individualized career services. However, individuals who are job search ready should be provided job search and placement assistance, including referrals to other SC Works center activities, such as resume preparation and/or interviewing preparation. Individuals initially considered job search ready may subsequently be determined to need individualized career services.

#### 2.1.2 Individualized Career Services

WP staff may also provide individualized career services as needed, particularly for those individuals with barriers to employment. Individualized career services are to be provided as appropriate to assist individuals in obtaining or retaining employment. Staff may use recent previous assessments by partner programs to determine if individualized career services would be appropriate.

Individualized career services include the following:

- Comprehensive and specialized assessments of the skill levels and service needs of adults and dislocated workers, which may include diagnostic testing and use of other assessment tools
- In-depth interviewing and evaluation to identify employment barriers and appropriate employment goals
- Development of an Individual Employment Plan (IEP)
- Group and/or individual counseling
- Short-term pre-vocational services, including development of learning skills, communication skills, interviewing skills, punctuality, personal maintenance skills, and professional conduct to prepare individuals for unsubsidized employment or training
- Internships and work experiences that are linked to careers
- Workforce preparation activities that help an individual acquire a combination of basic academic skills, critical thinking skills, digital literacy skills, and self-management skills, including competencies in utilizing resources, using information, working with others, understanding systems, and obtaining skills necessary for successful transition into and completion of postsecondary education, training, or employment
- Financial literacy services
- Out-of-area job search assistance and relocation assistance
- English language acquisition and integrated education and training programs

Individualized career services are to be provided by a Workforce Consultant. In a comprehensive SC Works center, an initial assessment must be given prior to referral of WP customers to a Workforce Consultant. WP customers likely to need individualized career services are those who:

- Do not possess an occupational goal; and/or
- Do not possess the requisite occupational knowledge, skills, and abilities to readily find work related to their occupational goal(s); and/or
- Have barriers that potentially prevent obtaining and retaining employment; and/or
- Require further training.

Individuals initially considered job search ready may subsequently be determined to need individualized career services. Individuals initially determined to need individualized career services will likely benefit from basic career services as well. If WP staff determines that the

individual is not job search ready and needs occupational or remedial training, the individual should be referred to the appropriate SC Works partner for further assistance in accordance with local partner referral procedures. Referral to an SC Works workshop, such as resume writing or interviewing skills, may be necessary, especially for job seekers who have not conducted a job search in recent years.

#### 2.2 Determining and Planning Appropriate Career Services

#### 2.2.1 WP Initial Assessment Procedures (Comprehensive Centers Only)

The purpose of the initial assessment is to understand the customer's occupational goals, existing skills, and work search readiness, and to determine if any barriers to employment exist. This initial assessment is conducted within the context of local labor market conditions and services that may be articulated by the customer, such as a desire to pursue training or education. The initial assessment will result in a determination of next steps for the customer, which may include referral to a Workforce Consultant for individualized career services and the development of an IEP. The WP Initial Assessment Form must be completed before staff refers an individual to a Workforce Consultant within Comprehensive Centers. Though the Initial Assessment is only required in Comprehensive Centers, staff in affiliate centers may use this assessment to quickly evaluate job seeker needs.

The <u>WP Initial Assessment Form</u> is for **staff use only** and can assist staff with the interview process when gathering the information necessary to conduct a thorough initial assessment. This form is used to quickly identify the customer's occupational goals, existing skills, and work search readiness, and to determine if barriers to employment exist. This form **may contain sensitive information** and should be protected from public access and view. Additionally, staff are prohibited from printing the form due to its confidential nature.

The Initial Assessment Form must be completed to evaluate the following:

**Occupational Goal(s)**—Evaluate the customer's occupational goal as favorable or not favorable in the labor market.

➤ If the customer does not have a clear occupational goal, or the outlook for the occupational goal is not favorable, then the customer is identified as in need of individualized career services and must be referred a Workforce Consultant.

**Knowledge, Skills, Aptitudes, and Abilities**—If the goal is for an occupation or occupational cluster that has a favorable outlook in the labor market, then review the customer's skills to determine if the customer has the necessary educational proficiency, experience, training, and aptitude to compete in the current job market.

➤ If barriers to employment exist, and cannot be addressed during the initial assessment or a subsequent appointment, then the customer must be referred to a Workforce Consultant.

Barriers to Employment—If the customer has the necessary knowledge, skills, and abilities, then any barriers or obstacles that may prevent the customer from finding and retaining employment should be identified (examples: health and physical considerations, poor work history, lack of references, child care or elder care issues, criminal record, transportation issues, limited English skills, homelessness, or other personal issues).

➤ If barriers to employment exist, and cannot be addressed during the initial assessment or a subsequent appointment, then the customer must be referred to a Workforce Consultant.

**Job Search Skills**—The customer's job search planning, preparation, and job seeking skills must also be evaluated. These skills include interviewing and communication skills, knowledge of where to look for work and how to research companies, knowledge of how to appropriately update a resume, basic computer skills to apply for work online, ability to conduct internet-based job searches, social networking and self-marketing skills, motivation to find work, and possessing a work search plan.

➤ If job search skills are deficient, and cannot be addressed during the initial assessment or a subsequent appointment, then the customer must be referred to a Workforce Consultant.

Upon completion of the WP Initial Assessment Form, activity code 137: WP Initial Assessment must be entered into SCWOS. A corresponding case note indicating the outcome of the assessment (i.e., referral to a Workforce Consultant or job search ready) must be entered with the completed WP Initial Assessment Form attached as a PDF to prevent a viewing rights issue. If the completed WP Initial Assessment Form indicates a referral to a Workforce Consultant is required, staff must also enter activity code 188: Interagency Referral in SCWOS. A corresponding case note detailing the nature of the referral must be sent to the Workforce Consultant with the completed WP Initial Assessment Form attached as a PDF. The Workforce Consultant will then schedule an appointment with the customer to provide the appropriate individualized career services as determined by the form.

**DEW Regional Managers** are responsible for the equitable distribution of referrals within their comprehensive SC Works centers.

#### 2.2.2 Objective Assessments

WP and Veterans' Services staff must complete the Objective Assessment in SCWOS prior to developing the initial IEP. Activity Code 203: Comprehensive Specialized Assessment is system-

generated upon completion of the Objective Assessment. If an IEP currently exists in SCWOS, but the Objective Assessment has not been completed, the Objective Assessment must be completed prior to updating the IEP. If staff completes the Objective Assessment and then determines that an IEP is not needed for an individual, staff must enter a corresponding case note explaining why an Objective Assessment has been completed, but no IEP has been developed.

#### 2.2.3 Individual Employment Plans (IEPs)

WIOA places a strong emphasis on integration across multiple core and partner programs to ensure alignment in service delivery. In light of this, the primary purpose of an IEP is to outline the provision of services necessary for the participant to achieve his or her employment goals, regardless of program affiliation.

#### 2.2.3.1 Developing and Amending IEPs

The development of an IEP is an individualized process that is created in partnership with the participant and tailored to meet individual goals and needs, and should be based on the information gathered in the Objective Assessment. The IEP must identify a specific employment goal, or goals, and the appropriate objectives and combination of services necessary to achieve the goal(s). An effective IEP uses the SMART principle to create Specific, Measurable, Attainable, Relevant, and Time-driven goals and objectives.

- **Specific**—Specific goals are easy to read and clearly indicate what the participant intends to do. Specific objectives are the action steps outlining exactly what the participant should do in order to achieve the goal.
- Measurable—Measurable goals have benchmarks allowing participants to see progress towards successfully achieving the goal. Goals are measurable by the completion of objectives.
- **Attainable**—Specific goals and objectives are attainable if the participant can be realistically expected to complete the goal within the timeframe given.
- Relevant—Goals and objectives must be relevant to what the participant is trying to achieve. A relevant goal is based on the participant's work history, education, training, special skills, interests, and aptitudes.
- **Time-driven**—Each goal should be limited to a defined period of time and include a specific timeline for each step of the process. Goals are defined as short, intermediate, or long term.
  - Short Term—the goal can be achieved within six months
  - o Intermediate Term—the goal can be achieved within seven to twelve months
  - Long Term—the goal will require more than one year to be achieved

At a minimum, IEPs must include the following elements:

- Career goals based on the participant's work history, education, training, special skills, interests, and aptitudes;
- Clearly defined action steps/objectives to be implemented by the participant to meet the employment goal; and
- Any barriers to employment, supportive service needs, and planned methods to address barriers.

Information provided in the IEP should justify each identified career service being provided to the participant, including potential training. An IEP must be developed prior to a participant pursuing any training activities, which should be linked to in-demand industry sectors and occupations. The agreed-upon IEP must be signed by the participant and placed in the participant's file and saved in SCWOS. IEPs developed under the RESEA program do not require a signature. All participants must receive a copy of the agreed-upon IEP.

An IEP is a living document that will be continually revised and used by staff from multiple programs, as appropriate. If there is a significant change to the IEP, the amended IEP must be signed by the participant and placed in the participant's file and saved in SCWOS. Significant changes include, but are not limited to, a change in training or significant change of the participant's employment goal. Alternatively, additional objectives to the same employment goal that are in line with what the participant has already agreed to in the original IEP is not considered a significant change and does not require a renewed participant signature.

Upon completion or amendment of an IEP, the program specific IEP activity code must be entered in SCWOS with a corresponding case note. At a minimum, the case note must include the following elements, as applicable:

- The participant's occupational goal;
- The labor market outlook for the participant's occupational goal;
- A summary of the participant's existing skills, which may include transferable and occupational skills, including those gained from hobbies or volunteer work;
- A summary of the participant's skill gaps, remedial education, and supportive service needs, if applicable; and
- Justification for referrals to any partner programs or services.

Activity Code 205:
Development of
Individual Employment
Plan is systemgenerated when an IEP
is created in SCWOS for
the WP, Veterans'
Services, and RESEA
programs.

#### 2.2.3.2 Alerts and IEP Follow-up

The IEP must be reviewed periodically to evaluate the progress of each participant in meeting the identified objectives and must be updated to reflect any changes. Staff, with the exception of the RESEA program, should set alerts for all IEP goals and objectives under "My Staff Resources" in SCWOS. These alerts will notify staff when any objective or goal is nearing the estimated review or completion date. The participant should be contacted and the objectives

and/or goal must be updated accordingly by the review or estimated date of completion. To ensure IEPs are being reviewed and updated in accordance with this policy, supervisory staff should monitor alert settings for case managers by running the "Staff Alerts" report under "Detailed Reports" in SCWOS.

IEP Alerts					
_	Alert Description	Days	Notify		
•	IEP Goals Alert This alert will notify you when any IEP goal is nearing the Estimated Date of Completion.	15 days prior ▼ None Selected 1 day prior 5 days prior	On the day only Everyday after		
•	IEP Objectives Alert This alert will notify you when any IEP Objective is nearing the Review Date of Completion.	15 days prior 30 days prior	On the day only  Everyday after		
	IEP Objectives Nearing Review Date of Completion for Case Managers This alert will notify you when any IEP Objective is nearing the Review Date of Completion.	None Selected ▼	On the day only  Everyday after		
	IEP Goals Nearing Estimated Completion Date for Case Managers This alert will notify you when any IEP Goal is nearing the Estimated Date of Completion.	None Selected ▼	On the day only  Everyday after		

As a participant completes goals and objectives affiliated with a program, those goals and objectives must be closed in the IEP. The plan itself must be closed by the last case manager assigned to the participant upon exit from the remaining program in which the participant is enrolled. A new IEP should be opened upon program reentry, as appropriate.

Due to the nature and schedule of the RESEA program, goals and objectives developed by RESEA staff will remain open unless closed during the subsequent RESEA appointment, which may or may not occur. The intent of the RESEA program is to provide UI claimants entry to a wide array of available resources that support reemployment and connect claimants to the direct provision of individualized career services, as appropriate. Thus, RESEA initial appointments will be affiliated with the WP program in SCWOS. A corresponding case note must be entered in accordance with this policy. If an individual returns for a subsequent RESEA program appointment, RESEA staff must review and update the IEP goal(s) and objectives, as appropriate, and enter a corresponding case note. Should an individual in the RESEA program become assigned to another staff member's caseload, the assigned case manager, regardless of program affiliation, is able to update and revise the IEP, to include closing old or completed goals and objectives.

#### 2.2.3.3 Avoiding Duplicated Goals

To avoid duplicative goals by partner programs for co-enrolled participants, multiple programs should be selected under "Program Affiliation(s)" when adding to the IEP, as appropriate. Objectives to the goals are program specific, but must be updated rather than duplicated. Co-

enrollment may result in different goals and objectives in the IEP, but only one IEP can be open per participant.

	rogram ffiliation(s)	Type Of Goal	Term Of Goal	Date Established	Estimated Completion Date	Actual Completion Date	Status
1 WI	IOA, WP	Employment	Intermediate Term	2/17/2018	10/30/2018		Open
Goal Description: Obtain full-time, sustainable employment in welding							

#### 2.2.3.4 IEP Creation Procedures

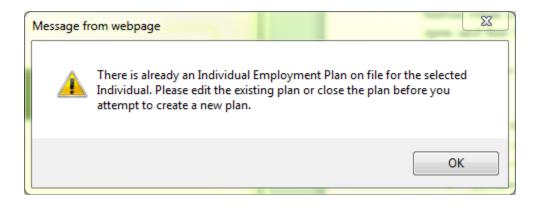
Select the individual in SCWOS for whom you need to create an IEP. Expand the **Staff Profiles** link; expand the **Case Management Profile**; and select **Plan**.



Under the Plan tab of the Case Management Profile, staff must click the **Create Individual Employment Plan/Service Strategy** button.



A participant may have only one plan open at any given time. If staff selects the create IEP/ISS button when a plan is already open, an error message will appear, indicating that a plan is already open and that Staff will need to close out the plan before attempting to create a new plan.



Once staff has clicked the create IEP/ISS button, staff must enter or select information as follows:

- Enter the **Plan Start Date** using the MM/DD/YYYY format.
- Select the LWIA/Region from the drop-down menu.
- Select the **Plan Started in Office Location** from the drop-down menu.
- Review other fields for information.
- Click the Next button to save and continue with this IEP record. The next screen will open
  to Goal Information in order to create your first goal. You may also go to the Goals tab to
  create a new goal as explained below.

#### Goals



Goals must be created using the SMART principle discussed above. Goals must be based on the participant's work history, education, training, interests, and aptitudes.

Under the Goals tab, click the **Add New Goal** link to enter the participant's desired goal.



Goals must be added before objectives. Complete the required fields marked with a red asterisk. Select options from the drop-down lists or checkboxes:

• Select a **LWDB/Region**.

This should prepopulate from information entered on the Create IEP/ISS page.

Select an Office.

This should prepopulate from information entered on the Create IEP/ISS page.



- Select a Program Affiliation.
- Select a Type of Goal.
- Enter the Term of Goal.

Goals are defined as short, intermediate, or long term. An attainable short term goal can be completed within 6 months; an intermediate goal can be achieved between 7 months and 12 months; and a long term goal can be completed after 1 year.

- Type the goal description in **Description of Goal**.
- Enter the **Date Established** using the MM/DD/YYYY format.
- Enter the Estimated Completion Date using the MM/DD/YYYY format.
   This date should be consistent with the Term of Goal entry entered above.
- Make sure that the Completion Status is Open.
   If you were closing the goal you would select Closed and then indicate the Reason Closed, i.e., Successful or Unsuccessful.
- Enter specific information in the Goal Details (Comments) box, as appropriate. The Goal Details should be used in order to clarify the goal entered in the Description of Goal box. Remember the more specific and clear you can be here, the more useful the IEP will be for the participant reviewing the plan later and any other partners who may be working with the participant.
- Click the Save button.

After the goal is saved, the Goals screen will refresh with the added goal and a link on the right side to Edit or Delete the goal.



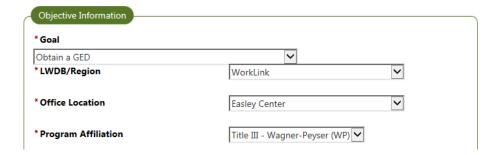
Proceed to the Objectives tab by clicking **Next** or by clicking the Objectives tab. **Objectives** 



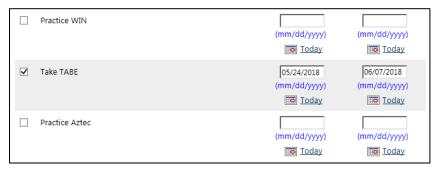
Click the **Objectives tab**. You can add a pre-defined objective or manual objective. Manual objectives are created from beginning to the end. You determine the title and nature of the manual objective. Pre-defined Objectives are less time-consuming.



For **pre-defined objectives**, click the **Select pre-defined objectives** link. Staff must enter or select the information as follows:



- Select the Associated Goal.
   LWDB/Region and Office Location should prepopulate from information entered earlier during registration.
- Select the **Program Affiliation**.
- Check the box for the **Pre-defined Objective** to be created.



- Enter the **Date Established**.
- Enter the Review Date.

Click Save or click Cancel to exit without saving.
 Comments can be added to a generic pre-defined objective after saving the pre-defined objective by selecting Edit next to the objective to be edited on the Objective tab.

For **manual objectives**, click the **Add new objective** link. Staff must enter or select the information as follows:

- Select the Associated Goal.
  - The Goal Date Established will then prepopulate. LWDB/Region and Office Location should already be prepopulated.
- Select the **Program Affiliation**.
- Type the specific **Objective**.
- Enter the **Date Established** using the MM/DD/YYYY format.
- Enter the **Review Date** using the MM/DD/YYYY format.
- Enter **Objective Details (Comments)** to further clarify the objective, as appropriate.
- Click **Save** or click Cancel to exit without saving.

#### **Working with Existing Plans**

Expand the Staff Profiles link; expand the Case Management Profile; and select Plan. An existing IEP will appear under the Individual Employment Plan section of the Plan tab. Staff may edit the plan by selecting the Edit link on the right side of the IEP listed.



To avoid duplicative goals by partner programs for co-enrolled participants, multiple programs should be selected under "Program Affiliation(s)" when adding to the IEP, as appropriate. Objectives to the goals are program specific, but must be updated rather than duplicated. Co-enrollment may result in different goals and objectives in the IEP.

Goal	Program   # Affiliation (s)	Type of Goal	Term of Goal	Date Established	Estimated Date of Completion	Actual Completion Date	Status
1	WIOA, WP	Employment	Intermediate Term	2/17/1018	10/30/2018		Open
Goal Description: Full-time, sustainable employment in welding							
Comments:							

#### **Closing an IEP**

As a participant completes goals and objectives affiliated with a program, those goals and objectives must be closed in the IEP. The IEP must be closed by the last case manager for the participant upon exit from the remaining program in which the participant is enrolled. A new IEP should be opened upon program reentry, as appropriate.

Before closing an IEP, you must close all remaining goals and objectives listed in the IEP. If you do not close the goals and objectives first, you will receive a warning message advising you to close all goals and objectives before closing the plan.

To **close an objective**, return to the objectives tab and select **Edit** beside the goal to be closed.

- Enter the Actual Completion Date.
- Change the **Completion Status** to Closed.
- Select the **Reason Closed**, i.e., Successful or Unsuccessful.
- If appropriate, add comments in the **Objective Details** text box to provide further details or to explain a successful/unsuccessful close.



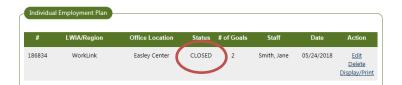
- Enter the **Actual Completion Date**.
- Change the **Completion Status** to Closed.
- Select the **Reason Closed**, i.e., Successful or Unsuccessful.
- If appropriate, add comments in the **Objective Details** text box to explain a successful/unsuccessful close.

Plan

To **close the IEP**, return to the Plan tab.

- Enter the Plan closed on date using the MM/DD/YYYY format.
- Click the **Next** button at the bottom. This will save the entry.
- Click the Exit Wizard link.
- Click **Okay** when the system warns about closing without saving. By clicking the next button prior to selecting the exit wizard, changes were saved.

On the Plan tab under the Case Management Profile, the IEP status will now indicate that the IEP status as CLOSED.



Action

Edit Delete

Goals

If an IEP is closed in error, it can be reopened.

- Select Edit beside the closed IEP.
- Remove the date from the Plan closed on box.
- Click the Next button at the bottom. This will save the entry.
- Click the Exit Wizard link.
- Click Okay when the system warns about closing the exit wizard without saving.
- The Plan Status will then read as OPEN.

#### 2.3 SCWOS Individual Account Management

#### 2.3.1 Registering an Individual in SCWOS Without a Social Security Number

UI claimants must provide their SSN to receive UI benefits.

Federal and state laws restrict the collection, use, maintenance, and disposal of SSNs. Staff cannot require a SSN or deny participation in a workforce program based on an individual's refusal to provide a SSN. When creating an account in SCWOS, individuals will receive the following message:

It is important that you provide a valid social security number. If you are an unemployment insurance claimant, this will be used to determine whether you have met the work registration requirement and whether you have completed your work searches. SC Works Online Services will not display your social security number to employers and will not share it with other entities unless required by law. However, providing your social security number is not mandatory. If you would like to register without providing your social security number, please ask a local SC Works Center representative for assistance. You may click on the following link to find the nearest SC Works center: Office Locator. This process may take up to thirty minutes for staff to collect the necessary information and create a full registration in the SC Works system.

Individuals who choose not to provide their SSN when registering in SCWOS must contact their local SC Works center staff. Upon request, staff must assist the individual with registering in SCWOS without a SSN.

I do not wish to provide	e my Social Security Number
Social Security Number (SSN):	Do not enter dashes (for example, 999001111)
Re-enter Social Security Number:	

Staff should advise individuals that they will still receive a pop-up notification in SCWOS upon login that the individual's SSN is not included on their account.

**NOTE**: Staff should **check for duplicate accounts before creating a new individual user SCWOS account**. See section 2.3.5 Merging Individual User Accounts for more guidance on finding duplicate user accounts.

#### 2.3.2 Recording Job Seeker Services in SCWOS

WP services are available to any person who wishes to access them without regard to eligibility, employment, or income status. Previously, any engagement with the labor exchange system and/or WP staff (e.g., using SCWOS to look for work, receiving basic information from staff, or referrals to partners or services) would make an individual a WP participant. However, WIOA

requires states to collect information and report individuals based on their level of engagement with the workforce system. The category of reportable individual allows DOL to identify the individuals who engaged with the workforce development system on an initial level, but who do not receive a significant staff-assisted that would make them participants. Outcomes of reportable individuals are not included in performance. Only individuals who meet the definition of "participant" are included in performance indicators.

Categories of individuals accessing the workforce system:

- Reportable Individual—one who only uses the self-service system or receives information-only services or activities. Reportable individuals are not included in WP performance.
  - Self-service—occurs when individuals independently access any workforce program's information and activities either physically (e.g., at an SC Works Center) or remotely (e.g., by phone or internet).
  - Information-only services or activities—those that provide readily available information that does not require an assessment by a staff member of the individual's skills, education, or career objectives.
- Participant—one who receives an individualized career service or a basic career service
  that is neither self-service nor information-only. Participants are included in WP
  performance. Examples include the following:
  - Virtually accessing services that provide a level of support beyond independent job or information seeking on the part of an individual
  - Determinations of whether the individual is eligible to receive assistance from the adult, DW, or youth programs
  - Initial assessment of skill levels including literacy, numeracy, and English language proficiency, as well as aptitudes, abilities (including skills gaps), and supportive service needs
  - Labor exchange services
  - o Internships and work experiences that are linked to careers
  - Financial literacy services

When a reportable individual becomes a participant by receiving a significant staff-assisted service, the WP Application must be fully completed in order to accurately record activities. Under new federal reporting requirements, staff must complete additional demographic data screens in SCWOS to fully enroll an individual in the WP program as a participant.

The following activities require significant staff involvement and therefore trigger participant and the additional data collection elements:

105: Job Finding Club	190: Referral to Reemployment Services
106: Provided Internet Job Search Support	195: Referral to Veterans' Post 9/11 GI Bill
115: Resume Preparation Assistance	196: Referral to Veterans' Montgomery GI Bill
123: Job Development Attempt/Contact	197: Referral to Veterans' Post 9/11 GI Bill and
	Montgomery GI Bill
124: Federal Bonding Assistance*	198: Referral to Veteran Staff - Other
126: Tax Credit Certification*	202: Career Guidance/Planning/Counseling
137: WP Initial Assessment	203: Comprehensive Specialized Assessment
138: Referral to Veterans' Affairs VR&E Program	204: Testing
139: Staff-Assisted UI Claim Assistance	205: Development of Individual Employment Plan
	(IEP)
140: Provision of Financial Aid Eligibility Assistance	208: Referred to Federal Training
141: Provision of Financial Literacy Services	209: Referred to other Federal or State-Funded
	Assistance
142: Soft Skills Instruction	210: Referred to Educational Services
178: Referral to Supportive Service	211: Referred to WIOA
189: Referral to Veteran Staff	500-503, 505: Job Referrals (System Generated)

<sup>\*</sup>These codes must be entered by state-level staff only.

The list of current WP activity codes can be found in SCWOS under Staff Online Resources.

#### 2.3.3 Creating Case Notes

Case notes create a record of events and the timeline in which these events occurred. Case notes should tell a story of an individual's interactions with SC Works staff and services received. These records can demonstrate progress or lack of success and can be used as legal evidence for appeals. Case notes also allow partner staff or new case managers to pick up where staff left off in working with an individual. A case note checklist is available on SCWOS Staff Online Resource. Key aspects of creating a useful case note are as follows:

#### Case notes **must** be:

- Clear;
- Factual;
- Grammatically correct;
- Purposeful;
- Informative (i.e., answer the questions: who, what, where, when, why, and how).

Case notes **must never** contain medical, legal, or personally identifiable information (PII) **unless it is** 

In SCWOS, there is an option to "suppress" a case note. This does not adequately protect the customer's privacy because it does not effectively limit access to staff with a "need to know." See section 6.4 below for more information on securing PII.

**necessary** to meet the above listed requirements for a case note. If it is necessary to include PII, medical information, or legal information, the **case note must not be entered into SCWOS**. The case note must be put into a **physical**, **locked file cabinet** in the SC Works center and access to the file must be limited to staff with "need to know." A case note should be entered into SCWOS indicating that the omitted case note is in a locked file.

#### 2.3.4 Required Use of SCWOS for Referrals

A referral is used to introduce an individual to another program or provider of service and to provide a description of the type of service the individual is seeking. A vital responsibility of each SC Works partner is the effective referral of customers to the appropriate partner for services, thereby reducing duplication and building accountability. Additionally, WIOA strongly encourages the use of an integrated system of case management, using technology to achieve alignment in service delivery that meets customers' needs, including for referrals. In order to facilitate such a system, **WP staff must use SCWOS for all customer referrals**. WIOA Title I, TAA, JVSG, and RESEA program staff must also use SCWOS for customer referrals. By using SCWOS for partner referrals, staff can more efficiently coordinate services with partners and will more effectively be able to track the outcomes of referrals.

See "Staff Referrals to Providers" in SCWOS Staff Online Resources for a step-by-step guide.

#### 2.3.4.1 Referral Requirements

At a minimum, a referral must include all of the following:

- Name of the participant being referred
- Date Contact Made—date the referring partner provides referral information to referred partner
- Referral To—partner organization receiving the referral
- Reason for the Referral—why the participant is being referred and/or what services are being sought
- An actively checked email address for the provider receiving the referral

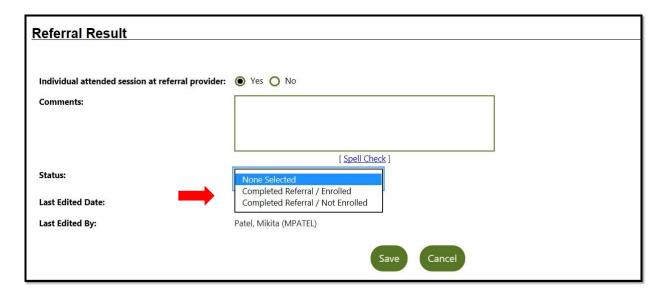
**NOTE**: Staff must also enter a corresponding case note. Supporting documentation, such as a release of information form, may be attached to the case note as appropriate.

Staff from the referring program should complete the referral, but must leave the status open at the time of the referral. This indicates that a referral to a partner program has been made, but that the outcome is not yet known.



#### 2.3.4.2 Recording Outcomes

Referral outcomes are recorded by closing a referral. Upon receipt of a referral from a partner program, the referred to program staff is responsible for closing the referral by recording the appropriate outcome as defined below.



#### **Outcome Definitions**

- A "Completed Referral/Enrolled" outcome is defined as a referral that was received by the referred to program and the individual referred was enrolled in the program.
- A "Completed Referral/Not Enrolled" outcome is defined as a referral that was received by the referred to program, but the individual referred was not enrolled in the program.



If an individual declines to enroll in the partner program referred to, program staff receiving the referral must document the individual's refusal by:

- Entering a case note in SCWOS outlining that the individual is declining to enroll in the partner program and why; and
- Completing and attaching the *Program Enrollment Refusal Form* (located in SCWOS under Staff Online Resources) to the case note.

To ensure referrals are being closed and outcomes recorded, supervisory program staff should monitor the "Staff Referrals Report" in SCWOS under Detailed Reports.

#### 2.3.5 Resetting Passwords for Individuals

When a customer forgets their login information, a customer may come in person to an SC Works center or the customer may call or email. Staff **must first verify the customer's identity** before resetting the SCWOS account password.

**Step 1**: Access the individual's account in SCWOS by searching for the individual's account and selecting "Assist an Individual."

**Step 2**: **Verify the identity** of the individual requesting the password reset through one of the following methods:

- Photo ID (if in person)
- Confirming at least 3 pieces of identifying information, such as
  - o DOB
  - Zip Code of Physical Address
  - SSN
  - Email Address
  - o Phone Number
- Answering the security questions on the account

**Step 3**: Reset the individual's account.

- Select "My Individual Profiles."
- Select "Personal Profile."
- Select "Reset account."
- Check the box for "Reset Password." Staff may reset the security question/response at this time at the customer's request.

Account Reset:

• Send the email to the individual with the stock password.

Staff **must never** create a password for the customer. This is a security risk.

**Step 4**: The customer must now login to the SCWOS account in order to complete the password reset process. Upon logging in, the customer will be prompted to reset the password.

Reset account

Last Successful Login: 5/15/2019 10:02:22 AM

#### 2.3.6 Merging Individual User Accounts

Staff must complete and submit a **SCWOS Duplicate User Account Merge Form** to <u>PolnPro@dew.sc.gov</u> in order to merge duplicate individual user accounts in SCWOS. Staff may discover multiple individual accounts by searching for duplicate accounts or when an individual reports issues with their weekly job search records in SCWOS which often occurs when an UI claimant is conducting job searches on an account that does not include the individual's SSN.

To search for duplicate individual accounts, use the "General Criteria" search page to locate an individual's account by entering various combinations of the individual's information such as name, date of birth, phone number, email address, etc. This ensures the system will pull up the exact individual account.

If your search returns multiple options based on this search, you may have duplicate accounts.

Once an individual's account is located, select the username to open the "General Information" tab within the individual's account. This tab displays an individual's personal information which can then be used to verify other potential duplicate accounts. If three or more verifying personal information match another account, then you have a duplicate account. Verifying items include:

- Registration IP Location & Registration IP Address (most recent IP address)—these are not
  usually used for verifying purposes because IP addresses change frequently.
- SSN—click on the link to view.
- Full Name
- Email Address
- Residential Address + Zip Code
- Date of Birth

The "Potential Duplicate Accounts" link is another resource for staff in identifying duplicate accounts. This link will list any accounts that SCWOS identifies as possible duplicates. The system checks the current account by first and last name, residential zip code, and date of birth, or matching five SSN digits. If the system finds potential duplicates, staff must then use the verifying information from the individual's "General Information" tab in order to confirm that the accounts listed are indeed duplicates.

Once an individual user account duplicate has been identified, staff must use the **SCWOS Duplicate Account Merge Form** found in SCWOS under Staff Online Resources in order to request an individual user account merge. Staff must accurately fill this form out to include the account that **the individual chooses as their account**, the account that contains the individual's valid SSN, and any known duplicate accounts. All individual user account merge requests must be sent to Technical Services, Policies, and Reporting at PolnPro@dew.sc.gov.

#### 2.4 WIN Learning

Individuals may be referred to WIN Learning for testing to assess their employability, often at the request of potential area employers. WIN Learning is a job skills assessment system that helps employers select, hire, train, develop, and retain a high-performance workforce. WIN Learning assessments measure workplace skills that employers believe are critical to job success. These skills are valuable for any occupation—skilled or professional—and at any level of education. Individuals can use WIN Learning's online self-paced courseware to prepare for the Ready to Work and Essential Soft Skills assessments. For more information about WIN Learning, see section 1.10 above, including how to enter WIN assessment information in SCWOS.

#### 2.5 Federal Bonding

The Federal Bonding Program exists to act as an incentive for employers to hire "high-risk" or hard-to-place job applicants by issuing fidelity bond insurance free of charge to employers. Bond coverage of \$5,000 takes effect on the applicant's first day of work and self-terminates in six months. For longer bonding, employers may purchase bonds through the contracted insurance company. While federal bonding is a service offered to employers, job seekers who fall under the categories of high-risk workers below should be made aware of this program. Federal bonding is a tool that a job seeker can leverage with the employer to incentivize the employer to hire a job seeker who otherwise may be passed over without further consideration.

High-risk workers include the following:

- Judicially-involved individuals with a record of arrest, conviction, or imprisonment and anyone who has ever been on parole or probation or has any police records
- Recovering-addicts who have been rehabilitated through treatment for alcohol and drug abuse
- Individuals with poor personal credit records or those who have declared bankruptcy
- Individuals who lack a work history
- Individuals who have been dishonorably discharged from the military
- Workers who need bonding in order to prevent being laid off or to secure a promotion
- Anyone else who needs the bond in order to get a job

Local staff should distribute the program brochure and informational letter to interested jobseekers. The brochure and informational letter can be found on SCWOS under Staff Online Resources. Interested individuals may request a Federal Bonding Voucher at their local SC Works centers. The voucher informs potential employers of the individual's Federal Bonding eligibility and provides the employer instructions on how to apply for the bond if the individual is selected for hire.

Employers must request the bond from the **State Bonding Coordinator** at <u>FederalBonding@dew.sc.gov</u> after making a firm job offer and setting a start date. The federal bonding process at the local level does not require significant staff involvement, and thus local staff is not required to enter an activity for the provision of information. **Activity Code 124: Federal Bonding Assistance** is entered by **state-level staff only**.

### Part 2: Relevant State Instructions

SI 08-05: Collection and Use of Social Security Numbers for WIA and TAA Participation

SI 17-02, Change 2: Wagner-Peyser Reporting Requirements and Activity Codes

SI 17-08: Effective Use of Initial Assessment for Wagner-Peyser Customers

SI 18-01: Individual Employment Plans

SI 20-02: Soft Skills Instruction

# Part 3: Veterans, WIOA Title I, and Workforce Innovations Programs

### 3.1 Services for Veterans

### **Eligible Veteran**—a person who:

- Served on active duty for a period of more than 180 days and was discharged or released with other than a dishonorable discharge
- Was discharged or released from active duty because of a service-connected disability
- As a member of a reserve component under an order to active duty, served on active duty during a period of war or in a campaign or expedition for which a campaign badge is authorized and was discharged or released from such duty with other than a dishonorable discharge

### **Other Covered Person**—the spouse of any of the following:

- Veteran who died of a service-connected disability
- Member of the Armed Forces serving on active duty who, at the time of application for priority, is listed in one or more of the following categories and has been so listed for a total of more than 90 days:
  - Missing in action
  - Captured in the line of duty by a hostile force
  - Forcibly detained or interned in the line of duty by a foreign government
- Veteran who has a total disability resulting from a service-connected disability, as evaluated by the Department of Veterans Affairs (VA) or a veteran who died while such a disability was in existence

### 3.1.1 Priority of Service for Veterans and Other Covered Persons

DOL and SC are committed to serving transitioning service members, veterans, and their families by providing resources to assist and prepare them in obtaining meaningful careers and maximizing employment opportunities. Veterans and covered persons receive priority of service for all DOL-funded employment and training programs.

**Priority of service**—veterans and eligible spouses are given priority over non-covered persons for the receipt of employment, training, and placement services. A veteran or an eligible spouse accesses a service earlier in time than, or instead of, a non-covered person.

### 3.1.2 "Veteran Hold" in SCWOS

All job orders are placed in a "Veteran Hold" status the day of the job order posting to allow qualified veterans and other covered persons the exclusive opportunity to view and receive a referral prior to non-veterans. In SCWOS, Veteran Holds are released during overnight processing on Monday – Friday. Overnight processing does not run on national holidays.

**Example**: A job order is entered Wednesday at 6:00am, the "Veteran Hold" will be lifted at 12:01am Wednesday during overnight processing. The "Veteran Hold" was in place for at least 24 hours and over the course of one full business day.

SC Works staff does not have the capability of manually lifting the "Veteran Hold" status. Qualified job candidates must be referred in the following order:

- 1. Special disabled veterans
- 2. Other disabled veterans
- 3. Other eligible veterans in accordance with priorities determined by DOL
- 4. Certain spouses and other covered persons
- 5. Non-veterans

### 3.1.3 Jobs for Veterans' State Grants (JVSG)

One strategy used by DOL to serve veterans is the Jobs for Veterans' State Grants (JVSG) program, which provides funds to states to exclusively serve veterans and other eligible spouses and to perform outreach to employers. JVSG funds are provided to states to fund the following staff positions:

- **Disabled Veterans' Outreach Program (DVOP) Specialist**—DVOPs provide intensive services and facilitate placements to meet the employment needs of veterans, prioritizing service to special disabled veterans, other disabled veterans, and other categories of veterans in accordance with priorities determined by the Secretary of Labor.
- Local Veterans' Employment Representative (LVER)—principle duties are to:
  - Conduct outreach to employers in the area to assist veterans in gaining employment, including conducting seminars for employers;
  - o In conjunction with employers, conducting job search workshops and establishing job search groups; and
  - o Facilitate employment, training, and placement services furnished to veterans.

### 3.1.3.1 Eligibility for DVOP Services

In April of 2014, DOL developed guidance to outline the refocused roles and responsibilities expected to be carried out by the JVSG program, the duties to be performed by DVOP specialists, and the relationship of services provided by staff within the larger workforce system. As a result, DVOP specialists must limit their activities to providing services to eligible veterans and spouses who:

- Meet the definition of an individual with a SBE, or
- Are members of a veteran population identified by the Secretary of Labor as eligible for DVOP services.

Additionally, the Consolidated Appropriations Act of 2014 and all Appropriations Acts since have also identified eligible service members, spouses, and family caregivers as individuals who may receive services from DVOP specialists.

Below is a complete list of eligible veterans and eligible spouses defined as having a SBE and additional veteran populations identified by DOL and Congress as eligible for DVOP services.

Eligible veterans and spouses defined as having a SBE include:

- A **special disabled or disabled veteran**, as those terms are defined in 38 USC §421(1)(3); special disabled and disabled veterans are those:
  - who are entitled to compensation (or who, but for the receipt of military retired pay, would be entitled to compensation) under laws administered by the Secretary of Veterans Affairs; or,
  - were discharged or released from active duty because of a service-connected disability;
- A homeless person, as defined in Sections 103(a) and (b) of the McKinney-Vento Homeless Assistance Act (42 USC 11302(a) and (b)), as amended, to include domestic violence and other dangerous or life-threatening conditions affecting permanent residence;
- A **recently-separated service member**, as defined in 38 USC §4211(6), who has been unemployed for 27 or more weeks in the previous 12 months;
- An **offender**, as defined by the Workforce Innovation and Opportunity Act Section 3(38), who is currently incarcerated or who has been released from incarceration;
- A veteran lacking a high school diploma or equivalent certificate; or
- A low-income individual (as defined by WIOA Section 3(36)).

Additional veteran populations identified as eligible for DVOP services include:

- **Veterans aged 18-24**—these veterans possess limited civilian work history which can make transitioning to the civilian labor force difficult, and thus may benefit from individualized career services provided by a DVOP specialist.
- Vietnam-era Veterans—these are eligible veterans who served any part of their active military, naval, or air service during the Vietnam era. The Bureau of Labor Statistics and the Veterans Affairs data indicate that there are still a sizable number of Vietnam-era Veterans in the workforce, and many face difficulty in finding and maintaining employment. In 2017, there were 1,689,000 Vietnam-era Veterans in the workforce with 64,000 unemployed and actively seeking employment. The Vietnam-era falls within the following timeframes:
  - The period beginning on February 28, 1961, and ending on May 7, 1975, in the case of a veteran who served in the Republic of Vietnam during that period; and
  - The period beginning on August 5, 1964, and ending on May 7, 1975, in all other cases.

- Eligible transitioning Service Members, Spouses, and Family Caregivers—in annual appropriation bills since the Consolidated Appropriations Act of 2014, Congress has authorized the JVSG program to support services as described in Veterans' Program Letter (VPL) 07-14 to:
  - Transitioning members of the Armed Forces who have been identified as in need of individualized career services;
  - Members of the Armed Forces who are wounded, ill, or injured and receiving treatment in military treatment facilities (MTFs) or warrior transition units (WTUs); and
  - o The spouses or other family caregivers of such wounded, ill, or injured members.
    - The term "caregiver" with respect to an eligible veteran means an individual who provides personal care services to the veteran.
    - The term "family caregiver" with respect to an eligible veteran means a family member who is a caregiver of the veteran.
    - The term "family member" with respect to an eligible veteran means an individual who (a) is a member of the family of the veteran, including parent, spouse, child, step-family member, and extended family member; or (b) lives with, but is not a member of the family of the veteran.

Veterans who do not fall into one of the categories that are served by DVOP specialists are eligible to be served by non-JVSG SC Works staff on a priority basis.

Intake staff must ensure all veterans complete the Veterans' Services Intake Form to determine

eligibility for priority of services provided by the DVOP. An eligible veteran, spouse, or family caregiver must be immediately referred to a DVOP specialist. In instances where a DVOP specialist is not available, referrals to a DEW Workforce Specialist or Consultant must be made. Veterans and eligible spouses who are not eligible under the list above are to be referred to appropriate non-JVSG SC Works staff members to receive basic, career, and/or training services on a priority basis.

The Veterans' Services
Intake Form should be
completed by intake staff
before a veteran is sent to a
DVOP specialist.

### 3.1.4 Homeless Veterans' Reintegration Program (HVRP)

Together with partners nationwide, the Department of Veterans Affairs (VA) launched the End Veterans Homelessness Initiative to make sure that veterans are able to obtain permanent housing and that veterans at risk of homelessness remain housed. One effort related to this partnership is to connect homeless veterans to the workforce programs available through American Job Centers (AJCs) to ensure they receive appropriate workforce services. Being connected to the labor market is one step towards self-sufficiency, including the attainment of affordable housing. Fast Forward is a Homeless Veterans' Reintegration program (HVRP) grantee serving Fairfield, Lexington, and Richland counties.

HVRP grantees are required to enroll all participants through local SC Works centers. This is to create a sustainable partnership in which grantees understand each other's services and to ensure that participants' employment needs are met. Enrollment occurs when the homeless veterans' program recipient receives a WP-funded employment service, a JVSG-funded DVOP service, or a WIOA Title I-funded service, such as services for adults or DWs. Fast Forward is responsible for working with appropriate WP, JVSG, and WIOA Title I staff in the SC Works centers to facilitate the enrollment of homeless veterans' program recipients.

### Point of entry and tracking in SCWOS

The Veterans' Services Intake Form should be used at the initial point of entry in the SC Works center to identify homeless veterans. Homeless veterans meet the criterion of having a Significant Barrier to Employment (SBE) and must be referred to a DVOP, or in instances where a DVOP is not available, to another DEW Workforce Consultant for the provision of individualized services. After an initial assessment, the DEW Workforce Consultant will determine if the veteran would benefit from a referral to one of the available HVRP programs. The DEW Workforce Consultant will schedule an appointment with the selected program and make sure that the participant, HVRP grantee, and DEW Workforce Consultant will all be in attendance. If the initial point of entry for the homeless veteran is the HVRP's office, the grantee will set up an appointment with a DVOP/DEW Workforce Consultant, participant, and grantee. The HVRP grantees will make referrals for enrollment in other workforce programs, including WIOA Title I, as appropriate.

To **ensure that HVRP participants are uniquely identified in SCWOS**, Fast Forward must be selected by DEW staff in the WP Application under the Participant Individual Record Layout (PIRL) tab.

## 3.2 WIOA Title I Programs

In South Carolina, WIOA Title I programs are administered through DEW and the twelve LWDAs and include the Adult, Dislocated Worker (DW), and Youth programs. Policies pertaining to WIOA programs are guided by the State Workforce Development Board (SWDB). Services available through WIOA fall into three main categories: case management, training, and supportive services; however, these services do vary by LWDA.

### 3.2.1 Adult Program Eligibility

An individual eligible for services through the WIOA Title I Adult program must be <u>all</u> of the following:

- A US citizen or a non-citizen who is eligible to work within the US
- Registered with the Selective Service (if applicable)
- 18 years of age or older

**NOTE**: Employees participating in IWT do not have to meet the eligibility requirements for participation in the Adult program, unless also enrolled as a participant in the Adult program.

See SI 15-17, Change 3, Adult Priority of Service under WIOA, for more information regarding WIOA priority of service for participants in the Adult program.

### 3.2.2 Dislocated Worker Program Eligibility

To be eligible for participation in the WIOA Title I Dislocated Worker (DW) program, an individual must be a US citizen or a non-citizen who is eligible to work within the US and registered with the Selective Service (if applicable), and must meet the requirements of one of the five categories of DW eligibility listed in WIOA § 3(15).

**NOTE**: Employees participating in IWT do not have to meet the eligibility requirements for participation in the DW program, unless also enrolled as a participant in the DW program.

### <u>Definition of Unlikely to Return to a Previous Industry or Occupation</u>

For DW eligibility under Category A as outlined in WIOA § 3(15)(A), an individual is unlikely to return to a previous industry or occupation when there is a lack of growth, a decline in job openings or employment opportunities, or the individual has been laid off without a recall date, or the date has passed, and is in need of additional services or training.

#### Examples include:

- Skill Oversupply An excess number of workers locally with similar skill sets and experience seeking limited related employment opportunities.
- Obsolete Skills The applicant no longer meets the minimum requirements of jobs available in their occupation.
- Local Layoff Impact Local business closing(s) or layoffs have had a significant negative impact on the availability of jobs in the applicant's primary occupation and accustomed wage/hour/skill level.
- No Job Offers Received The applicant has been available and looking for work for a number of weeks and has not received an offer of work ("number of weeks" could be two or more weeks, depending upon the occupation, state of local economy, and/or applicant's verified job search efforts).
- Physical Limitations or Disabilities The applicant has newly acquired physical limitations
  or injuries which limit the individual's ability to perform the job from which they were
  dislocated.

**NOTE**: An individual laid off on a temporary basis or furloughed with a specific, upcoming return to work date does not meet the definition of "unlikely to return to a previous industry or occupation."

The following forms of documentation can be used to demonstrate "unlikely to return":

- Labor market information indicating the applicant's skills are not currently in demand
- Labor market information indicating the applicant cannot meet the skills requirements for jobs currently available in their chosen occupation
- Labor market information indicating no jobs or only part-time jobs are available that match the applicant's skills
- Job search records demonstrating no job offers received for a period of weeks prior to WIOA enrollment
- Documentation from a physician or other applicable professional of acquired physical limitations or injuries that make an individual unable to perform the same work as the job of dislocation

Documentation provided by the individual from employer or UI where no return to work date was given or the date has passed with no job availability (For UI documentation, claimants can access their return to work status in the UI My Benefits self-service portal. See also, Unlikely to Return UI Documentation, available on SCWOS under Staff Online Resources.

### 3.2.3 Youth Program Eligibility

An individual eligible for services through the WIOA Title I Youth program must be <u>all</u> of the following:

- A US citizen or a non-citizen who is eligible to work in the US
- Between the ages of 14 and 24 at the time of enrollment
- Registered with the Selective Service, if applicable
- An eligible Out-of-School Youth (OSY) or In-School Youth (ISY)

An OSY is an individual who is not younger than age 16 or older than 24 at the time of enrollment, is not attending any school, and is <u>one or more</u> of the following:

- School Dropout
- Youth who is within the age of compulsory school attendance (defined as under the age
  of 17 in South Carolina), but has not attended school for at least the most recent complete
  school year calendar quarter (based on how a local school district defines its school year
  quarters)
- Low-income individual who is a recipient of a secondary school diploma, or its recognized equivalent, and is either basic skills deficient or an English language learner
- Individual who is subject to the juvenile or adult justice system
- Homeless individual (as defined in the Violence Against Women Act of 1994 or the McKinney-Vento Homeless Assistance Act), a runaway, an individual who is in foster care

or who has aged out of the foster care system, a child eligible for assistance under the Social Security Act § 477, or an individual who is in an out-of-home placement

- Individual who is pregnant or parenting
- Individual with a disability
- Low-income individual who requires additional assistance to enter or complete an educational program or to secure or hold employment

An ISY is an individual who is not younger than age 14 or older than 21 at the time of enrollment, is attending school, is low-income, and is <u>one or more</u> of the following:

- Basic skills deficient
- English language learner
- Offender
- Homeless individual (as defined in the Violence Against Women Act of 1994 or the McKinney-Vento Homeless Assistance Act), a runaway, an individual who is in foster care or who has aged out of the foster care system, a child eligible for assistance under the Social Security Act § 477, or an individual who is in an out-of-home placement
- Individual who is pregnant or parenting
- Individual with a disability
- Individual who requires additional assistance to complete an educational program or to secure or hold employment

See SI 19-05, WIOA Youth Program Eligibility, Expenditures, and Service Requirements, for more information.

### 3.2.4 National Dislocated Worker Grant (DWG)

National Dislocated Worker Grants (DWGs) are discretionary grants awarded by DOL under WIOA § 170 to provide employment-related services for dislocated workers. DWGs are time-limited funding assistance in response to major economic dislocations or other events that cause significant impact on states and local areas that exceed the capacity of existing formula funds and other relevant resources to address. Disaster Recovery is one type of DWG intended to provide disaster-relief and humanitarian assistance employment, as well as employment and training services, as appropriate, to minimize the employment and economic impact of federally declared disasters and emergency situations, in disaster-declared areas as defined in 20 CFR 687.110(b). DWG projects should align with other state and local programs, including Rapid Response, layoff aversion, and the WIOA Title I Dislocated Worker Program, and should focus on addressing employment and training needs in states and local areas.

An individual eligible for services through a Disaster Recovery National Dislocated Worker Grant (DWG) must be one of the following:

A worker temporarily or permanently laid off as a consequence of the disaster

- A DW as defined by WIOA § 3(15)
- A long-term unemployed worker (unemployed for 27 weeks or longer)
- A self-employed individual who became unemployed or significantly underemployed as a result of the disaster or emergency

See SI 20-05, Disaster Recovery National Dislocated Worker Grants, for more information.

For additional information on Disaster Recovery DWGs and eligibility information for Employment Recovery DWGs and Special Populations DWGs, see TEGL 12-19, Change 1, Attachment I, National Dislocated Worker Grant Program Guidance.

### 3.2.5 Incumbent Worker Training (IWT)

IWT is a reimbursement grant program wherein the business is reimbursed for actual training costs following the completion of training. IWT is funded through local WIOA Title I Adult and DW program funds (local IWT) and Governor's Reserve or Statewide program funds (statewide IWT). Rapid Response also provides funding for IWT to assist a business or group of businesses in averting a layoff or closure (see <a href="section 1.4.1">section 1.4.1</a>, "Rapid Response IWT"). To receive funding for an IWT program, employers must apply for an IWT grant.

IWT provides both workers and employers with the opportunity to build and maintain a skilled workforce. Training is intended to assist with expansion, new technology, retooling, new services/product lines, and/or new organizational structuring, or to be used as part of a layoff aversion strategy. The employer commits to retain the incumbent worker(s) trained and to increase the competitiveness of the employee and the employer. IWT should result in training completions, credential attainment, employee retention, wage increases, and promotions.

The ideal IWT is one where a participant acquires new skills allowing him/her to move into a higher paying position within the company, allowing the company to hire a job seeker, through the WIOA program, to backfill the worker's position.

IWT is tracked in SCWOS as both an employer service and a participant activity.

Employer Service Codes	Individual Activity Codes	
E20: RR-Funded IWT Agreement for Layoff Aversion	355: Rapid Response-Funded IWT	
E40: WIOA State or Local IWT	325: Locally-Funded IWT	
E40. WIOA State of Local IW I	360: WIOA State or Local IWT	

### **Business Eligibility**

An employer that is eligible to apply for IWT funding must:

- be a South Carolina for-profit or non-profit business;
- have at least one full-time employee other than the owner of the business;
- be current on all state tax obligations;
- be registered in SCWOS and have an active employer account; and
- agree to comply with this policy.

Training entities and government employers (city, county, state, and/or federal) are not eligible for IWT funding. Businesses receiving services through readySC™, and/or other training providers, may be eligible for IWT as long as the training funded is not a duplication of services provided through another funding source. IWT funds are not available to a business that has relocated, if that relocation resulted in the loss of jobs at the original location, until the company has operated at that new location for 120 days. A business that has recently relocated to, or is expanding into, a LWDA must complete the WIOA IWT Program Pre-Award Review in order to receive IWT funding from that LWDA.

A group of employers may form a training consortium for the purposes of receiving IWT. Common examples of training consortia include, but are not limited to business associations, industry councils, chambers of commerce, or downtown/community development corporations. The training consortium, or a third party representing the training consortium, may apply for IWT on behalf of the group of employers but cannot serve as the training provider and is not eligible to receive any funding as payment for their services. IWT funding may not be used for members of a training consortium who would otherwise be ineligible for IWT, i.e., training entities and city, county, and state governments.

### **Employee/Worker Eligibility**

To qualify as an incumbent worker, the worker must:

- Be employed;
- Meet the Fair Labor Standards Act requirements for an employer-employee relationship;
   and
- Have an established employment history with the employer for six months or more.

Individuals who do not have an employer-employee relationship include the following:

- Employees who are placed through a staffing or temporary agency
- Employees who receive a 1099 for tax filing purposes

When IWT is being provided to a cohort of employees, not every employee in the cohort must

have an established employment history with the employer for six months or more as long a majority of the employees being trained meet the employment history requirement. Employees do not have to meet the eligibility requirements for participation in the WIOA Adult or Dislocated Worker programs, unless also enrolled as a participant in the Adult or Dislocated Worker programs.

### **Training Services**

Businesses are typically in the best position to assess employee skill needs and to select the type of training and training provider that can fulfill those needs. Training that results in a credential or helps an employee advance along a career pathway in high-growth, high-demand occupations is prioritized under the program.

Training funded through IWT cannot be provided by employer staff, regardless of the staff person's position or credentials. Training must be provided by a training provider external to the business, and may be conducted at the business' own facility, the training provider's facility, online or remotely, or at a combination of sites.

The following types of training are **not eligible** for IWT funding:

- Periodic safety and refresher courses such as all forms of Occupational Safety and Health Administration (OSHA) trainings
- First Aid and CPR certifications
- Hazardous material handling training

International Organization of Standardization (ISO) training may be eligible for the purposes of developing a quality management system in order to earn ISO certification.

For more information and requirements for IWT programs, see SI 20-08, Local and Statewide Incumbent Worker Training Policy, and SI 20-13, Rapid Response Incumbent Worker Training Policy.

# 3.3 Services for Migrant and Seasonal Farm Workers (MSFWs)

Federal regulations require that each state WP agency operate an outreach program to locate and contact MSFWs who are not being reached by normal intake activities conducted at local SC Works centers. The program exists to ensure that MSFWs receive services that are equivalent and proportionate to the services provided to non-MSFWs.

MSFWs include the following:

 Migrant Farmworkers—seasonal farmworkers who travel to their job sites and are not reasonably able to return to their permanent residences within the same day. This

- definition does not include full-time students who travel in organized groups rather than with their families.
- **Seasonal Farmworkers**—individuals who are employed, or were employed in the past 12 months, in farm work of a seasonal or temporary nature and are not required to be absent overnight from their permanent places of residence. This definition does not include non-migrant individuals who are full-time students.

**Rural Manpower** provides extensive outreach to employers in an effort to match agricultural job openings with qualified MSFWs throughout the state and to inform agricultural employers of services available through the SC Works system, including the following:

- Agricultural labor needs assessments
- Recruitment of labor
- Compliance guidance for federal and state laws
- Assistance with Farm Labor Contractor registrations and renewals
- Coordination of services and assistance to the employer's current farmworkers

The DEW State Monitor Advocate is a member of the SC Primary Health Care Association Advisory Council, which assists in coordinating services to MSFWs. Rural Manpower has representation on the SC Migrant Farm Workers Committee. Additionally, both groups serve on the Farm Labor Coordinating Committee to share information with other farm labor groups.

# 3.4 Trade Adjustment Assistance (TAA or Trade) Program

The TAA or Trade Program is a federal program that assists US workers who have lost their jobs as a result of increased imports or shifts in operations due to foreign trade agreements. TAA seeks to provide these trade-affected workers with opportunities to obtain the skills, resources, and support they need to become reemployed. Trade benefits and services include the following:

- Career and Case Management Services
- Training
- Income Support—Trade Readjustment Allowances (TRA)
- Job Search Allowances
- Relocation Allowances
- Older Worker Wage Subsidy

TRA is a benefit available under TAA, and is not its own program.

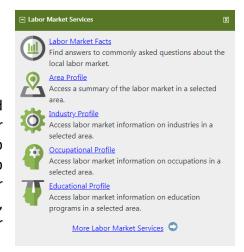
TRA is available to provide income support while participants are enrolled in full-time training. The amount of each weekly TRA payment is based on the weekly UI benefit amount received. To receive TRA, participants must have exhausted all UI benefits.

## 3.5 Jobs for America's Graduates (JAG)

JAG is a dropout prevention program focused on academic success, career readiness, and life skills development. The JAG program in SC is currently affiliated with 22 high schools, 2 middle schools, and 2 out-of-school programs. In each of the JAG-SC affiliated sites, a dedicated career specialist functions as a teacher, coach, counselor, and advocate for students with documented barriers to success. These barriers include teen parenting, excessive absenteeism, low income households, and substance abuse. Over the course of their enrollment, students master 81 competencies identified by employers as essential to successful employment. The program has experienced post-graduation success in both employment and post-secondary education enrollment. To find out more about JAG-SC, contact State Coordinator Elaine Midkiff at <a href="mailto:emidkiff@dew.sc.gov">emiddieg.emidkiff@dew.sc.gov</a> or visit the national website at <a href="mailto:http://www.jag.org/">http://www.jag.org/</a>.

# 3.6 Labor Market Information (LMI) for Jobseekers

LMI is a key ingredient to understanding the workplace and the dynamics which influence job search and career choices. LMI data forms the foundation of an effective job search by providing a wealth of information to help formulate an actionable plan. Jobseekers can use Labor Market Profiles as a guide to locate wages, benefits, training, and other information to explore career opportunities.



# 3.7 Ticket to Work Program: Employment Network

The Ticket to Work Program provides more choices for receiving employment services to individuals receiving Supplemental Security Income (SSI) and Social Security Disability Insurance (SSDI) who are between the ages of 18 and 64. Under this program, eligible beneficiaries may choose to assign tickets to an Employment Network (EN) of their choice in order to obtain employment services, vocational rehabilitation services, or other support services necessary to achieve their employment goal(s). DEW operates as an EN for the Ticket to Work Program. As an EN, DEW coordinates and provides appropriate services through the SC Works system to help the beneficiary find and maintain employment. For more information about the Ticket to Work Program, visit <a href="https://choosework.ssa.gov/index.html">https://choosework.ssa.gov/index.html</a>.

# Part 3: Relevant State Instructions

- SI 13-05: Refocused Roles and Responsibilities of JVSG Staff
- SI 13-05, Change 3: Designation of Additional Populations of Veterans Eligible for DVOP Services
- SI 15-17, Change 3: Adult Priority of Service under WIOA
- SI 16-06, Change 2: Homeless Veterans' Reintegration Program
- SI 19-05: WIOA Youth Program Eligibility, Expenditures, and Service Requirements
- SI 20-05: Disaster Recovery National Dislocated Worker Grants
- SI 20-06: Updated Federal Income Guidelines
- SI 20-08: Local and Statewide Incumbent Worker Training Policy
- SI 20-13: Rapid Response Incumbent Worker Training Policy
- SI 20-15: Participant Eligibility for WIOA Title I Programs

# Part 4: ES and UI Coordination

Workers who lose their jobs through no fault of their own may be eligible for unemployment benefits, which are funded by a state employer tax. UI programs play a vital role in the integrated workforce system by providing income support benefits to eligible individuals. These benefits allow unemployed workers to engage in work search activities for suitable work, and the workforce system is a key source of services to support the reemployment of those workers. The integrated workforce system established by WIOA is intended to provide participants with a seamless experience that includes a knowledgeable and professional level of service. As such, DEW staff must be well-trained in UI claims filing and claimant rights and responsibilities. In an effort to provide meaningful assistance to SC Works customers seeking to file a UI claim, local office DEW staff must complete the UI FAQs training located in DEW U. The training will assist frontline staff in correctly answering common claimant questions with ease and consistency.

Staff must enter **WP activity code 139: Staff-Assisted UI Claim Assistance** to record when staff provides meaningful **assistance filing an initial UI claim online**, if requested, or if the individual is identified as needing the service due to barriers such as limited English proficiency, lack of computer skills, or other barriers.

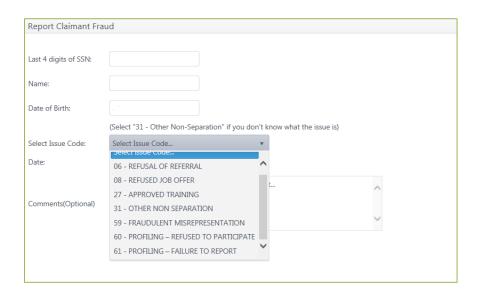
### 4.1 Workforce Information Portal (WIP)

The WIP provides a secure method for partner staff to obtain the necessary UI data that is used to determine an individual's potential eligibility for training and employment services programs under WIOA. The WIP also allows all staff to communicate potential eligibility for training and employment services programs under WIOA and potential UI fraud and availability issues to UI personnel in an efficient and streamlined manner. Sharing such information with UI staff helps to accelerate the claimants' return to suitable employment and ensure their continued eligibility to receive UI benefits. SC Works staff must be able to identify potential eligibility issues for referral to UI staff, as well as properly document information for use by UI staff in adjudicating those issues, as appropriate.

Designated SC Works staff will enter potential eligibility issue codes in the WIP, which are then directly communicated to UI staff for determination. The issue code category includes the following selections:

- Refusal of Referral 06
  - A customer receiving UI benefits refuses a referral to a job for which the individual has experience and/or training and at a wage that meets the definition of suitable work.
- Refused Job Offer 08
  - A customer receiving UI benefits refuses an offer of a job for which the individual has experience and/or training and at a wage that meets the definition of suitable work.
- Approved Training 27

- A customer who is receiving UI benefits, but not enrolled in training through other partner programs, requests that a training program be approved by UI to waive the UI work search requirement.
- Other Non-Separation 31
  - A customer receiving UI benefits indicates a potential availability issue because the individual is not:
    - able (physically capable),
    - available (without restrictions), and
    - actively seeking employment (qualified job searches without barriers); and
  - Use this code for all other potential issues not included in the issue code selections.
- Fraudulent Misrepresentation 59
  - A customer receiving UI benefits withholds or misrepresents information in order to obtain UI benefits the individual would otherwise not have been eligible to receive.



UI claimants are required by law to report to a SC Works center when instructed. Failure to report could indicate an availability issue and may result in a disqualification of benefits. If a customer receiving UI benefits does not report for a scheduled appointment, staff should contact the individual to determine the cause and report any potential availability issues though the WIP, as appropriate.

If a customer receiving UI benefits presents any of the issues described above, the following reporting procedures are required:

- 1. Enter a case note in SCWOS detailing all specifics of the issue being reported.
- 2. Send the case note electronically in SCWOS to the DEW Regional Manager or local office designee with access to the WIP.

3. The Regional Manager or designee will enter the code and case note details in the WIP. This could result in the claimant's UI benefits being stopped.

### 4.2 Suitable Work

A claimant must be **able**, **available**, **and actively seeking suitable work** for which the individual is qualified in order to receive UI benefits. SC Regulation 47-23 requires that a claimant must look for and accept employment that pays at least 90% of the wage earned from the most recent bona fide employer. Once a claimant has been paid eight weeks of unemployment benefits, suitable work is then defined as employment which pays 75% of the wage earned during the most recent bona fide employment for any subsequent weeks. The suitable work wage cannot be reduced below the minimum wage. Claimants are required to accept suitable work referrals and offers in order to continue receiving UI benefits. If it is determined a claimant has refused a suitable referral or job offer, the individual will be disqualified from benefits until returning to work and earning eight times the weekly UI benefit amount. **The SC legislature currently requires claimants to make at least 2 job search contacts weekly through SCWOS**.

Claimants whose wage demand exceeds the suitable work amount must be advised of the potential for denial of benefits and given the opportunity to adjust their minimum acceptable wage. If a customer receiving UI benefits refuses a job referral or job offer for which the individual has experience or training at a wage that meets the definition of suitable work, the following reporting procedures are required:

- 1. Enter a case note in SCWOS detailing the information listed below.
  - a. Specifics of the job referred or offered, including:
    - i. Employer name
    - ii. Job title
    - iii. Job duties
    - iv. Rate of pay offered
    - v. Full-time, part-time, or temporary work status
    - vi. Hours of work offered
    - vii. Distance to the job
    - viii. Previous qualifying experience and/or training
    - ix. Equipment/licenses required to perform the job
  - b. Specifics of the most recent bona fide employment, including:
    - i. Job title Job duties
    - ii. Rate of pay
    - iii. Customary hours of work
    - iv. Distance to the job
    - v. Required experience and/or training
    - vi. Equipment/licenses required to perform the job
- Send the case note electronically in SCWOS to the DEW Regional Manager or local office designee with access to the WIP.

3. The Regional Manager or local office designee will enter the Refusal of Referral or refused Job Offer code in WIP. This could result in the claimant's UI benefits being stopped.

Job searches must be performed in SCWOS using the individual's registered username and password to be recorded and considered a verifiable job search.

# 4.3 UI-Approved Training—GED Program and State Technical College Training

A claimant who is not available and seeking work due to enrollment in training may have those requirements waived if the training is considered approved for the UI program. Claimants receiving UI benefits who are in an UI-approved training or program are not required to be available for work and are not required to make an active search for work. Additionally, those claimants will not be disqualified for refusal to accept work or failure to apply for work so long as training is satisfactorily progressing toward completion in accordance with the program provider.

Claimants' participation in and receiving of GED services and participation in one or more of the following short-term programs (up to 16 weeks) at a state technical college will be considered approved training by UI:

- Patient Care Technician This healthcare program teaches you how to administer basic care to patients. PCTs interact directly with patients to provide assistance with daily tasks.
- **Emergency Medical Technician (EMT)** Learn the basic knowledge and skills necessary to stabilize and safely transport patients ranging from non-emergency and routine medical transports to life-threatening emergencies.
- **Medical Billing and Coding** With this program, you will gain the skills necessary to translate medical reports into codes used within the healthcare industry and to process claims with health insurance companies on behalf of healthcare providers.
- CompTIA (Computing Technology Industry Association) A+ Certification The industry standard for establishing a career in IT, this program teaches you how to support today's core technologies from security to cloud to data management and more.
- Commercial Driver's License (CDL) This program offers hands-on training and in-depth classroom instruction necessary to understand and master the daily requirements of a career in professional truck driving.
- ManuFirstSC (Manufacturing Technician) A basic manufacturing certification for individuals needing entry-level eligibility for manufacturing employment. Covers the areas of manufacturing safety, quality awareness, production and processes, and maintenance awareness.
- MSSC (Manufacturing Skill Standards Council) Certification This program helps individuals learn the basic skills and knowledge required for advanced manufacturing and supply chain logistics jobs.

- OSHA (Occupational Safety and Health Administration) Certification Learn about common job-related safety and health hazards during this 10-hour or 30-hour training course.
- **LEAN Six Sigma** Learn how to help an organization operate more efficiently by analyzing and improving their processes with the tools that you learn in this training program.
- Welding Learn various welding techniques through a wide selection of course offerings.
- **Heavy Equipment Operator** A combination of in-class and hands on instruction, this training will prepare you for a variety of construction jobs by teaching equipment safety, identification, operation, and basic maintenance.
- **Lineman Technician** Learn to use the tools and equipment required for safe installation and repair of underground and overhead utilities through a combination of work in the classroom and field settings.
- Forklift Operator Learn how to safely operate a forklift.

The following WP activity codes must be recorded when a reportable individual or WP participant is receiving GED services or participating in one of the approved programs listed above while receiving UI benefits:

ACTIVITY CODE	ACTIVITY NAME	DEFINITION	TRIGGERS PARTICIPATION	REQUIRES CASE NOTE
U04	UI Claimant in GED Program	This code should be entered on a Registered Individual or a Wagner-Peyser participant (including JVSG and MSFW). This code will allow UI claimants who are receiving GED services to be exempt from the UI work search requirement. This code is used for internal tracking purposes only and is not included in performance.	No	Yes
U05	UI Claimant Attended Orientation	This code should be entered on a Reportable Individual or a Wagner-Peyser participant (including JVSG and MSFW). This code will allow UI claimants who explored training options at a state technical college to be exempt from the UI work search requirement for that week. This code is used for internal tracking purposes only and is not included in performance.	No	Yes

U06	UI Claimant in Training	This code should be entered on a Reportable Individual or a Wagner-Peyser participant (including JVSG and MSFW). This code will allow UI claimants who are participating in an approved program at a state technical college to be exempt from the UI work search requirement while in training. This code is used for internal tracking purposes only and is not included in performance.	No	Yes
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### **Training Exploration**

A claimant can explore state technical college training options in lieu of work search requirements for one week. The State Technical College System will notify DEW when a claimant explores local technical college training options. The appropriate DEW staff will then enter the U05 activity code on the individuals' WP Application, waiving the work search requirement for that week.

### **Enrollment in GED Services or Training**

Upon enrollment in an approved training program at a state technical college as listed above or in GED services, the claimant is responsible for completing and submitting one of the following forms in person at a comprehensive SC Works center:

- UI-Approved State Technical College Training Programs Work Search Waiver Form
- UI-Approved GED Services Work Search Waiver Form

Upon receipt of the completed and signed form, DEW staff must complete the following:

- Enter the appropriate activity code on the individual's WP Application
- Enter the training start date as the "Scheduled Date"

**NOTE**: Do not enter the "Actual Service Date" <u>and</u> "Completion Code" at enrollment.

- Upload and attach the appropriate form to a corresponding case note in SCWOS
- Provide career and referral services, as appropriate



### Continuing in GED Services or Training

The claimant is responsible for submitting the completed waiver form to DEW staff on a **monthly** basis for attendance verification purposes if continuing to actively participate in training.

- Upload and attach the form to a corresponding case note in SCWOS
- For the GED Services waiver, extend the "Scheduled Date" by 30 days within the existing U04 code to waive the work search requirement for an additional month
- Continue providing career and referral services, as appropriate

**NOTE**: Supervisory staff should monitor individuals with open U04 and U06 activity codes on a monthly basis by running the "Services Provided to Individuals" report in SCWOS and follow-up with individuals, as needed, to ensure claimants are reporting as required and that the work search requirement is not waived indefinitely. Record all communications with an individual by entering timely case notes in SCWOS.

### <u>Training Completion</u>

- Upon completion of training or GED services, successful or otherwise, the activity code should be closed by entering the last day services were received as the "Actual Service Date" and selecting the appropriate "Completion Code" as defined below to remove the work search waiver and prevent the individual from being included indefinitely on internal tracking reports.
- A corresponding case note in SCWOS is required for documentation purposes.

### Completion Codes for GED Services

- "Successful Completion" should be selected when the individual has obtained a GED.
- "Unsuccessful Completion Failed to Report" should be selected when the individual has not reported to the office in more than 30 days as required.
- "Unsuccessful Completion Dropped Out" should be selected when staff have been notified by the individual that they are no longer receiving GED services and have not received a GED.

## 4.4 RESEA Program

As a matter of regulation, all UI claimants receiving benefits and not attached to employment must register in SCWOS. If a claimant fails to complete the SCWOS registration process, a **failure to register – 07 issue code is automatically sent to UI, which could result in the claimant's UI benefits being stopped**. UI claimants who are identified through profiling methods as likely to exhaust benefits and who are in need of reemployment services to transition to new employment must participate in the Reemployment Services and Eligibility Assessment (RESEA) program. Data elements needed for profiling purposes are collected from claimants during the initial claims and registration process and entered into a computer database that will be used to rank claimants. Claimants identified as needing reemployment services are notified as to why the services are being offered, as well as when and where to report. The claimant then participates in an

assessment interview to determine what services will benefit the individual's efforts to re-enter the workforce. The primary goal of this meeting with RESEA staff is to connect unemployed individuals looking for work to the many job search resources available at the SC Works centers.

Claimants selected to participate in the RESEA program can receive up to three one-on-one assessments during the benefit year. The initial appointment is scheduled when the claimant files the first weekly certification for benefits. Subsequent assessments generally occur in the 6<sup>th</sup> and 10<sup>th</sup> weeks of the benefit year. RESEA appointments may be rescheduled within the same week of the original appointment for just cause, such as a conflicting job interview, work schedule, or certain compelling family circumstances. However, WP staff must inform the claimant that eligibility for benefits may be adversely affected if the claimant does not complete the RESEA appointment by the close of business of the scheduled appointment date. If the claimant still wishes to reschedule the appointment, the following procedure is required:

- 1. WP staff must instruct the claimant to contact the RESEA staff in the comprehensive SC Works center where the appointment is scheduled.
- 2. WP staff must send an email to all RESEA staff at the applicable comprehensive SC Works center, copying the supervising HUB manager informing UI staff of the rescheduling request. An updated RESEA staff and HUB manager list is maintained on iConnect under "Unemployment Insurance Re-employment Services and Eligibility Assessment (RESEA)."
  - a. The email subject should be entitled: "RESEA Reschedule" and include the claimant's last name and last four of the SSN.
  - b. The email body should include the following claimant information:
    - i. Full Name
    - ii. SSN
    - iii. Current Phone Number
    - iv. Original RESEA appointment date and time
    - v. Preferred rescheduled RESEA appointment date and time
    - vi. Reason for needing the appointment to be rescheduled
- 3. Upon receiving the email, RESEA staff will contact the claimant to discuss the possibility of rescheduling the appointment. All further correspondence to the claimant regarding the RESEA appointment will be handled by UI staff.

# Part 4: Relevant State Instructions

SI 14-11: Legislative Changes to the Definition of Suitable Work

SI 20-04, Change 1: UI-Approved GED Wagner-Peyser Activity Code

SI 20-10, Change 1: UI-Approved Training for State Technical College Programs

# Part 5: Special Projects

Special populations programs have been created to assist the hard to serve population in conjunction with local and state offices. The programs include the Back to Work Program, Second Chance Programs, and Reentry State Council Reentry Job Fairs.

## 5.1 Back to Work Program

First launched in collaboration with Transitional Homeless Recovery Center and the Main Street United Methodist Church, the Back to Work Program was created to provide individuals living in transitional housing (a homeless shelter in this instance) with the practical skills they need to find gainful employment and to help reintegrate them into the workforce. After the success of this program in the Midlands, it was expanded to Greenville. Because of the success of both locations this became a statewide initiative with the goal of having classes in all 12 workforce regions.

The program holds "boot camp" workshops designed to identify individuals' barriers to employment and provide them with tools to overcome personal obstacles. The program's training includes career exploration, conflict resolution transferable job skills, self-esteem building, and maintaining financial stability. Each component of the program is designed to effect immediate positive change. The program not only assists participants with practical skills and obtaining employment, but the Back to Work Program also teaches them how to sustain long-term employment.

Back to Work Instructors (ES Staff) are given access to a shared folder which contains the curriculum and PowerPoints for the program. Instructors are responsible for reporting Back to Work partner information, progress of their classes, roster of graduates, and placement information reports regularly. Instructors should follow the directions in the Back to Work Program folder to enter in **activity code 142: Soft Skills Instruction** on Back to Work participants.

# **5.2** Second Chance Programs

The Second Chance Programs, created by DEW and the SC Department of Corrections (SCDC), helps individuals within the correctional system across the state to live more productive and rewarding lives after release. By helping individuals prepare for employment, they gain confidence, purpose, and direction, and they are less likely to return to the prison system. In order to participate in many of these programs, specific requirements must be met by the participant, including a disciplinary-free record and non-violent offenses for incarceration. These programs include having case managers within correctional facilities, workshops for those scheduled for release, and services to those who have been released.

### **5.2.1** Case Managers within Corrections

Manning Pre-Release Correctional Facility, Camille Griffin Graham Correctional Institution, and Kershaw Correctional Institution have a full-time DEW employee placed within the facility to assist inmates who are 90 days from release. The inmates in this program are introduced to the SC Works system. The program consists of workshops that help them with interviewing skills, computer basics, soft skills, and job search. Each participant is assisted with federal bonding, resume preparation, and letters of explanation. The program is a 90-day program that prepares them for life outside of the facility. Thirty days prior to release, the participants are scheduled for one-on-one sessions with the DEW staff. During these sessions, the staff person talks with them about any concerns they may have regarding being released. The staff person helps them to work thorough these issues, makes suggestions, and provides guidance. Each participant receives a folder at the time of their release that includes a Federal Bonding letter, several copies of their resume, a letter of explanation that explains their personal situation, information on the SC Works centers across the state, and any other information regarding resources that staff feels may be useful. Each month after release day, the names of these individuals are sent to the SCDEW staff in the centers so that staff can reach out to those in their areas and offer continued support. Those who are released have their information forwarded to the nearest Workforce Consultant in the areas by the on-site case manager. This allows for a direct connection, once released, with a Workforce Consultant to help them continue to find gainful employment.

### 5.2.2 Workshops for Those Scheduled for Release

Individuals who are scheduled for release from the state's facilities attend a workshop led by two DEW employees to prepare for their search for employment. These workshops include how to navigate the database system, finding our local workforce centers, and preparing for an interview/job fair.

#### **5.2.3** Released Population

Those who have been released are provided with case management and referrals to supportive services to assist them with their needs.

# **5.3** Reentry State Council Reentry Job Fairs

In collaboration with the US Attorney's Office, SC Works, SC Vocational Rehabilitation (VR), the SC Department of Probation, Parole, and Pardon Services (PPP), the SC Department of Social Services (DSS), and the US Probation Office, DEW cohosts the Reentry Resource and Job Fairs in the Low Country, Midlands, Pee Dee, and Upstate regions of SC.

# Part 5: Relevant State Instructions

SI 20-02: Soft Skills Instruction

# Part 6: Operations and Oversight

### **6.1** Performance Measures

### **6.1.1** Wagner-Peyser Program

As required by WIOA, DEW negotiates the expected levels of performance on the following four WP indicators each Program Year (PY):

- Employment in the second quarter after exit
- Employment in the fourth quarter after exit
- Median Earnings in the second quarter after exit
- Effectiveness in Serving Employers (ESE)

Under WIOA, ESE is considered a baseline indicator. Although baseline indicators were not included in performance calculations for PY 2016 and PY 2017, DEW collected data and reported on this indicator in preparation for PY 2018 performance negotiations. The following data elements are included in determining ESE:

- **Employer Penetration**—the total number of businesses that received a service or are continuing to receive a service or other assistance during the reporting period out of the total number of businesses located within the state during the final month or quarter of the reporting period.
- Repeat Business Customers—the total number of businesses that received a service or are continuing to receive a service or other assistance during the reporting period AND who utilized a service anytime within the previous 3 years in comparison to the total number of unique businesses who have received a service previously in the last 3 years.

Final negotiated performance levels will be added to this manual as available. Actual performance will be evaluated and reported to DOL quarterly and shared with staff for continuous improvement opportunities.

### **6.1.2** Trade and Veterans' Programs

The Veterans' programs negotiated the following 6 performance measures with the exception of the DVOP Intensive Services Provided Rate, which is set at the national level:

### **Performance Targets for DVOPs:**

- Employment Rate (2<sup>nd</sup> Quarter After Exit)
- Employment Rate (4<sup>th</sup> Quarter After Exit)
- Median Earnings (2<sup>nd</sup> Quarter After Exit)

### **Performance Targets for Labor Exchange Services for Veterans:**

- Employment Rate (2<sup>nd</sup> Quarter After Exit)
- Employment Rate (4<sup>th</sup> Quarter After Exit)
- Median Earnings (2<sup>nd</sup> Quarter After Exit)

Unlike the WP program, the TAA program does not negotiate expected levels of performance, as goals are automatically set at the national level for the following measures:

- Entered Employment Rate
- Employment Retention Rate
- Six-Month Average Earnings

### **6.1.3** Data Changes for Workforce Programs

DOL requires the submission of annual performance data in a Participant Individual Record Layout (PIRL) file by October 1<sup>st</sup> of each year. The PIRL file is locked from editing after this period. To ensure the integrity of reported data, the following procedures apply to data change requests:

- Data change requests will continue to be evaluated on a case-by-case basis.
- Data change requests for the current program year may be submitted at any time during that year.
- Data change requests for the prior program year will be accepted through September 15<sup>th</sup> of the following program year.

Data change requests received after September 15<sup>th</sup> to correct data reported for the previous program year <u>will not be included</u> in performance. However, if data errors are discovered after the deadline for performance submission, data change requests should still be submitted in order to improve the accuracy, validity, and reliability of program data. Acceptable data change request documents include the *SCWOS Change Request Form – Individual Records* and the *Activity Record Change (ARC) Form*. The forms are located in SCWOS under Staff Online Resources.

All WP and employer service records change requests must be submitted to <a href="mailto:PolnPro@dew.sc.gov">PolnPro@dew.sc.gov</a>.

### 6.1.4 Performance Data Validation for DOL Workforce Programs

Data validation is a series of internal controls or quality assurance techniques established to verify the accuracy, validity, and reliability of data. Establishing a shared data validation framework that requires a consistent approach across programs ensures that all program data consistently and accurately reflect the performance of all programs. To that end, the purposes of validation procedures are to:

- Verify that the performance data reported by grant recipients to DOL are valid, accurate, reliable, and comparable across programs;
- Identify anomalies in the data and resolve issues that may cause inaccurate reporting;
- Outline source documentation required for common data elements; and
- Improve program performance accountability through the results of data validation efforts.

### 6.1.4.1 Data Validation Procedures and Responsibilities

### **All Programs**

- Appropriate WIOA, TAA, WP, JVSG, and DWG staff are responsible for conducting quarterly data validation reviews to verify that the performance data elements reported by South Carolina are valid, accurate, reliable, and comparable across programs. The review is to identify anomalies in the data or missing data, to resolve issues that may cause inaccurate reporting, and to improve program performance accountability through the results of data validation efforts.
- Staff responsible for conducting the data validation review must complete quarterly reviews in October, January, April, and July on both active and exited records. Staff are responsible for validating the data for the previous quarter.
- Staff will use the latest Participant Individual Record Layout (PIRL) document found at <u>https://www.dol.gov/agencies/eta/performance/reporting#current-reporting-req</u> for definitions/instructions, program specific requirements and source documentation needed to perform data validation on each data element.
- Staff will be expected to provide their data validation documentation, when requested, to the Office of Internal Audit, at least annually.
- Staff must use the source documentation requirements found in TEGL 23-19, Attachment II, Source Documentation for WIOA Core/Non-Core Programs DOL-only Data Element Validation, to validate required program elements. TEGL 23-19, Attachment II, is attached to this policy and may also be found at <a href="https://wdr.doleta.gov/directives/corr">https://wdr.doleta.gov/directives/corr</a> doc.cfm?DOCN=9155.
- Appropriate staff will review data validation procedures annually for effectiveness.

### WIOA Adult/Dislocated Worker and Youth Programs

Each LWDB must develop its own data integrity review policy that aligns with all federal and state laws, regulations, and guidance.

### Office of Internal Audit

The Office of Internal Audit is responsible for assisting program staff in data validation review by performing the following tasks:

 Providing annual data validation by conducting a file review of data elements against source documentation;

- Implementing a sampling methodology of participant files for data validation review;
- Providing a summary of data validation results to applicable workforce programs to include technical assistance, when needed; and
- Receiving and following up on corrective action plans from applicable workforce programs to address noted deficiencies.

### Technical Services, Policies, and Reporting

Technical Services, Policies, and Reporting is responsible for assisting program staff in data validation review by performing the following tasks:

- Addressing edit checks on quarterly and annual performance data;
- Submitting performance data into the Workforce Integrated Performance System (WIPS);
   and
- Providing technical assistance related to data validation tools available to programs in SCWOS.

### 6.1.4.2 Staff Training

State-level program staff, Area Directors, and Regional Managers are expected to provide training to WP, JVSG, and TAA staff on the importance of correct data entry as it relates to obtaining positive performance outcomes on an annual basis at minimum, and must provide training to workforce staff on the allowable source documentation requirements contained in TEGL 23-19, Attachment II, and any other relevant state policies.

### 6.1.4.3 Correcting Missing or Erroneous Data

If any missing or erroneous data is discovered throughout the validation process, staff must take appropriate actions to correct it. These corrective actions may include, but are not limited to the following:

- Submitting detailed change requests to correct inaccurate data;
- Working with Technical Services, Policies, and Reporting to resolve out-of-range variances and/or large quantities of data anomalies;
- Providing additional training or technical assistance to workforce staff responsible for the erroneous data entry, if applicable;
- Collecting missing documentation to verify required data elements, if applicable.

## 6.2 Complaints

The Office of Equal Opportunity (EO) exists to promote a positive climate for diversity and to ensure that the Agency has adequate policies and procedures in place to guard against and prohibit discrimination and/or harassment. EO staff provides support for staff and works with DEW Executive Leadership to ensure that Agency policies and programs comply with applicable nondiscrimination requirements. The department also provides an avenue whereby employees and other stakeholders may address concerns regarding discrimination and/or harassment.

A complaint can be from or about a DEW employee, or an employee, customer, or partner in the SC Works center. If a complaint is an allegation of discrimination, it must be immediately referred to the EO Officer. If a complaint of sexual harassment is reported to the supervisor or HR, it must immediately be referred to the EO Officer. The supervisor must not investigate the complaint. If a complaint is an allegation of harassment, it can be reported to the supervisor, HR, or the EO Officer. However, the supervisor must not investigate the complaint. The supervisor must immediately elevate the complaint to the EO Officer or HR.

A complaint of discrimination must be filed within 180 days of the alleged violation and must be in writing, as identified within the "Equal Opportunity is Law" notice in each SC Works center. For additional information on DEW policies, refer to the <u>DEW Policy Center</u>.

WIOA prohibits the exclusion of an individual from participation in, denial of benefits of, discrimination, or denial of employment in the administration of or in connection with any programs and activities funded or otherwise financially assisted in whole or in part under Title I of WIOA because of race, color, religion, sex (including sexual orientation, gender identity, and gender expression), national origin, age, disability, political affiliation or belief. SC Works center Operators and Managers must use the "WIOA Rights Handout for Registrants, Applicants, and Participants" when a complaint is filed. The complaint form and instructions to file a grievance is attached to SI 16-14, Change 1, Rights to File a Grievance or Complaint under WIOA, and is in both Spanish and English.

If a complaint is not based on discrimination, but on customer service or program issues, the SC Works centers must ensure that the complaint is processed in accordance with local policies and procedures.

### 6.3 Individuals with Disabilities and the Law

The ADA and ADAAA give protections to qualified individuals with disabilities. Individuals with disabilities may also have protections under Title VI and Title VII of the Civil Rights Act. These protections guarantee equal opportunity in employment, public accommodations, transportation, state/local government services, and telecommunication. The ADA prohibits discrimination in all

AskJan.org provides individuals and employers with useful information on the rights of individuals with disabilities in the workplace.

employment practices, including job application procedures, hiring, firing, advancement, compensation, training, and other terms, conditions, and privileges of employment. It applies to recruitment, advertising, tenure, layoff, leave, fringe benefits, and all other employment-related activities. The ADA requires that **reasonable accommodations be provided upon request** to qualified customers with disabilities availing themselves of SC Works services.

Without a documented request from the customer, staff <u>must not</u> disclose information about a customer's disability to other staff (without a "need to know"), potential employers, or training providers.

# 6.4 Personally Identifiable Information (PII)

PII is information that can be used to distinguish or trace an individual's identity, either alone or when combined with other personal or identifying information that is linked or linkable to a specific individual. Examples of protected PII include, but are not limited to, social security numbers (SSNs), credit card numbers, bank account numbers, home telephone numbers, ages, birthdates, marital statuses, spouse names, medical history, financial information, and computer passwords.

Protecting the information of our program participants is important; for instance, a name linked to a SSN, a date of birth, and a mother's maiden name could result in identity theft!

### 6.4.1 Security of PII

Staff must maintain and store participant information in a manner that ensures confidentiality in accordance with 29 CFR 38.41 and State Instruction 16-11, Confidentiality of Equal Opportunity Records. Staff must ensure the security and confidentiality of customers' PII, as well as, medical and legal information (e.g., medical records, information about injuries or pregnancies, and information about divorce proceedings, custody battles, or criminal histories) by adhering to the following guidelines regarding physical and electronic security:

#### **Physical Security:**

- Limit access to sensitive printed materials.
- Use locked file cabinets for materials that include PII, medial information, and legal information, including case notes with this confidential information.
- Never include PII, medical information, or legal information in a case note in SCWOS.
- Shred documents that are no longer used that contain PII.
- Use a cover sheet when printing or transmitting PII via fax.
- Seal hard copies of files containing PII in envelopes when sending via mail or courier.

Hold the
Windows key + L
to quickly lock
your computer
when stepping
away for a
moment.

- Secure laptop computers when not in use.
- Never leave documents that include PII, medical information, or legal information in plain view.

### **Electronic Security:**

- Do not share passwords, personal identification numbers, security tokens (e.g., smartcards), or any data or equipment used for authentication and identification purposes.
- Lock computers when leaving them unattended for any period of time.
- Do not send any PII, medical information, or legal information in the subject or body of an email
- Use password protection when saving PII, medical information, or legal information in a document that will be transported on a laptop computer or portable storage device.

Additionally, staff must ensure that a customer's confidential PII, medical information, and legal information is not provided to anyone other than that customer, and then only after the customer provides enough information to establish his or her identity.

Staff users of SCWOS must protect the security and integrity of their SCWOS credentials by safeguarding their usernames and passwords. They must never be shared with another staff member, stored in an internet browser, or written down and left in plain view. If there is a suspicion that credentials have been compromised, staff should change the password and immediately notify the Workforce Information Manager at polnpro@dew.sc.gov.

#### 6.4.2 Record Retention

As a general rule, the following records must be **retained for three years from the submission of the Final Expenditure Report**:

- Financial Records
- Supporting Documents
- Statistical Records
- Participant Files
- All other non-federal entity records pertinent to a federal award

However, exceptions to this rule may apply. Refer to 2 CFR § 200.334 for more guidance.

**NOTE**: Records related to Equal Opportunity (EO) complaints must be kept for three years following the complaint resolution, or if the complaint resolution is appealed to DOL, records must be retained for three years following the resolution of the appeal.

## 6.5 Requests for Information

The Office of Communications and Marketing serves as the official contact point for all new media in dealing with DEW and the SC Works centers. Any media representatives seeking information concerning the programs and services of the agency should be referred to Communications and Marketing at <a href="mailto:Communications@dew.sc.gov">Communications@dew.sc.gov</a>. The office is also responsible for the agency's employee DEWsletter, blog, social media presence, marketing, and many other special events and communications activities. To include information in the DEWsletter, please contact Communications and Marketing.

Records and meetings of public bodies are open and available to citizens and their representatives in the press under SC's Freedom of Information Act (FOIA). Requests of this nature should be sent to the legal department at <u>FOIA@dew.sc.gov</u>.

## 6.6 Professional Standards

Serving the public as an employee of the SC state government requires an appreciation for and dedication to the basic principles of integrity, honesty, respect for others, fairness, and accountability. These principles are fundamental in providing good government and advancing the public interest and are central to and implicit in any personal, professional, or agency code of conduct.

To avoid any appearance of impropriety, ES staff is prohibited from accomplishing any of the following tasks without first obtaining management permission:

- Completing, updating, or keying a job seeker account for themselves, a coworker, a relative, or a friend using their staff logon; or
- Referring themselves, a coworker, a relative, or a friend to a job posting using their staff login information.

If a conflict occurs or the appearance of impropriety emerges, ES staff must immediately report the incident to their supervisor. ES supervisors must ensure that staff:

- Do not access or monitor any records except those that are absolutely necessary to complete assigned job duties; and
- Is aware that certain information is of a personal nature, is sensitive and confidential under law, and is only to be disclosed in compliance with federal and state laws and agency guidelines.

## 6.7 Civility

Regardless of role or position, all staff within the SC Works system is expected to behave in a manner that maintains a civil workplace environment, free of harassment and intimidation. Management bears a responsibility that respectful behaviors are exhibited at all times, and to

address those which are not. Indeed, management should exemplify the behavior expected of all staff in maintaining a positive and productive work culture.

Respectful workplace behaviors are those that promote positivity and professionalism including, but not limited to:

- Using respectful and courteous language in all interactions;
- Questioning an individual's position on an issue politely and seeking to understand his/her position;
- Giving an individual direct, non-personal feedback, and where appropriate, in a private setting:
- Not displaying a negative attitude and understanding how one's attitude can affect the work environment;
- Approaching conflict with maturity and a true desire for resolution rather than an opportunity to disagree;
- Respecting the chain of command and raising concerns to management at the appropriate time/place and with the appropriate tone; and
- Using discretion when communicating about issues that are considered personal.

Inappropriate or unacceptable workplace behaviors are statements or acts that may negatively impact the work environment including, but not limited to:

- Using profane, abusive, vulgar, or harassing language;
- Berating or unnecessarily criticizing people in public;
- Gossiping;
- Deliberately embarrassing people;
- Using email or text messages as a shield for rudeness or to further any other inappropriate or unacceptable workplace behaviors; and
- Addressing people in a rude or unprofessional manner or tone.

All SC Works system staff and management have a responsibility to act in good faith towards maintaining a culture of inclusion, dignity, and understanding for all stakeholders in the workforce system. Disputes should be addressed using approaches that facilitate clear communication and respectful interactions that lead to mutually acceptable solutions. For disputes that cannot be resolved informally, the following mediation/resolution process shall be followed.

#### **Local Resolution Efforts**

1. The authorized signatory official of the WIOA local grant recipient (or designee of the local grant recipient) and the executive director of the partner(s) (or the designee(s) of the partner(s)) shall mediate and resolve the situation.

2. Should these efforts fail, the situation shall be referred to the chair of the LWDB who shall designate an ad hoc committee to mediate with the parties involved to resolve the situation.

## **SWDB Resolution Efforts**

- 1. Should local efforts fail and/or situations reoccur, either party may send a written request to the SWDB regarding mediation.
- 2. The SWDB Chair will designate the Executive Committee or an ad hoc committee of at least five SWDB members to mediate with the parties involved to resolve the situation.
- 3. The SWDB will hear the dispute and provide a recommendation within 60 days.
- 4. The parties will be notified in writing of the SWDB recommendation within 20 days.

# Part 6: Relevant State Instructions

SI 11-10: SC Works Online Services Staff Credentials and System Integrity

SI 16-04: Workplace Civility

SI 16-11: Confidentiality of Equal Opportunity Records

SI 16-14: Rights to File a Grievance or Complaint Under WIOA

SI 16-14, Change 1: Rights to File a Grievance or Complaint Under WIOA

SI 16-17, Change 1: Sanctions for Violations of Nondiscrimination and Equal Opportunity Provisions of WIOA

SI 18-05, Change 1: Data Changes for Workforce Programs

SI 20-09: Performance Data Validation for DOL Workforce Programs

# Part 7: List of Revisions

## **7.1** Revision 1: JANUARY 2018

## **Part I: Services to Employers**

The employer registration requirement in SCWOS may be waived for job fairs and other hiring events in response to mass layoffs and closure actions. Waivers must be authorized at the state level and will be communicated to the local workforce area(s). Any local requests for a waiver must be submitted to DEW Workforce Support.

#### Section 1.3.8.2 In & Out Job Orders

Updated the Placement Reporting Process to highlight the necessity for an active WP Application on an individual's account before reporting a placement.

### **Section 1.9 WorkKeys Services**

Assessment names updated.

## Section 2.1.3 Initial Assessment Procedures (Comprehensive Centers Only)

A WP Initial Assessment must be recorded upon the completion of the WP Initial Assessment Form used to quickly identify the customer's occupational goals, existing skills, and work search readiness, and to determine if barriers to employment exist. Activity Code 137: WP Initial Assessment must be entered and a corresponding case note indicating the outcome of the assessment (i.e., referral to a Workforce Consultant or job search ready) must be entered with the completed Initial Assessment Form attached as a PDF to prevent a viewing rights issue.

If the completed Initial Assessment Form indicates a referral to a Workforce Consultant is required, staff should enter activity code 188: Interagency Referral in SCWOS and send the case note along with the completed assessment (as a PDF).

## **Section 2.2 Recording Job Seeker Services in SCWOS**

Defined the difference between the two categories of individuals accessing the workforce system (*Reportable Individual* and *Participant*) and revised the WP activity codes for recording services.

#### Section 2.3 WorkKeys

Assessment names updated.

## Section 2.5.3 Homeless Veterans' Reintegration Program

HVRP grantees are required to enroll all participants through local SC Works Centers. This is to create a sustainable partnership in which grantees understand each other's services and to ensure that participants' employment needs are met. Enrollment occurs when the homeless veterans' program recipient receives a Wagener-Peyser Act-funded employment service, a JVSG-funded DVOP service or a WIOA Title I-funded service, such as services for adults or dislocated workers.

Fast Forward, Telamon, and Military Community Connection of SC are responsible for working with appropriate WP, JVSG, and WIOA Title I staff in the SC Works Centers to facilitate the enrollment of homeless veterans' program recipients.

#### Section 2.6.2 Suitable Work

The SC legislature now requires claimants to conduct at least 2 job searches each week through SCWOS. Job searches must be performed in SCWOS using the individual's registered username and password to be recorded and considered a verifiable job search.

## **Section 2.7 Federal Bonding**

Local staff should distribute the brochure and informational letter to interested jobseekers. However, because the federal bonding process at the local level does not require significant staff involvement, WP activity code 124: Federal Bonding Assistance will be entered by state level staff only.

## **Section 3 Work Ready Communities (SWRC)**

Assessment names updated.

## **Section 4.2 Complaints**

A link to State Instruction 16-14, Change 1, was added. The guidance contains the most recently updated 2017 WIOA Rights Handout for Registrants, Applicants, and Participants.

## **Section 4.7 Civility**

Defined both positive and inappropriate behaviors in the workplace and outlined the dispute mediation process needed when complaints cannot be informally resolved.

## **7.2 Revision 2: AUGUST 2019**

#### **Overall Structure**

To provide this manual as an effective reference tool for new and experienced staff, the manual has been revised to accommodate additional information and resources for staff, and to increase random access usability by staff through a more thorough table of contents and organization of information.

#### Overview

Information about State, Regional, and Local plans is included to provide staff a broader frame of reference for how staff efforts affect the big picture.

## Section 1.1.1 Effectiveness in Serving Employers (ESE) & Activity Code Entry

Updated employer activity codes are included, and information about which activity codes may be included on an employer account that has not been enabled.

## **Section 1.2 Employer Account Management in SCWOS**

This section defines the two types of employer SCWOS accounts, Marketing Lead and Recruiting, and defines the four statuses that can be placed on an employer's account.

### **Section 1.2.2.3 Changing Employer Passwords**

This section provides the process for staff to reset an employer's password in the employer's SCWOS account.

## Section 1.2.2.4 System Required Employer Password Change/Deactivation

An employer's SCWOS account will deactivate if the password is not reset every 90 days.

## **Section 1.2.2.5 Resolution of Duplicate Employer Accounts**

This section outlines the process for staff to report and resolve duplicate employer SCWOS accounts.

## **Section 1.2.3 Employer Account Verification Process**

This section updates staff on the Employer Verification Process and requires employers to provide their SC UI Tax Account Number or documentation showing why the employer is not required to have a tax account number.

Staff must use employer activity codes E01 and E07, as appropriate, instead of Work Items in the CRM Module.

#### Section 1.3.5 Submission of Job Orders

Job orders created by employers in SCWOS will not become public until the employer's SCWOS account has been enabled.

#### Section 1.3.8.2 In & Out Job Orders

This section provides guidance to staff on how to create an "In & Out Job Order" in order to capture placements resulting from previously made referrals or job development attempts.

#### **Section 1.4 Rapid Response**

This section updates staff on the four stages of Rapid Response and highlights the importance of quick reporting by local staff of any actual or suspected layoff events.

## **Section 1.8 Career Readiness Assessments**

SC now contracts with WIN Learning for career readiness assessments.

## Section 1.8.1 WIN Learning

This section informs staff of the Ready to Work Credential and the Essential Soft Skills Credential that employers can use through WIN Learning.

## Section 1.8.1.1 Entering WIN Assessment Results in SCWOS

This section guides staff on how to enter WIN Learning assessment scores into SCWOS.

## **Section 1.9 HIRE Vets Medallion Program**

This section describes the HIRE Vets Medallion Award Program that is available to recognize employers who hire and retain veterans by establishing employee development programs and veteran specific benefits to improve retention.

## **Section 2.2.2 Objective Assessments**

Staff is required to develop objective assessments for participants prior to creating an Individual Employment Plan (IEP).

## Section 2.2.3 Individual Employment Plans (IEPs)

This section and its subsections provides guidance to staff on how to create, develop, amend, and close IEPs, including a procedural section to guide staff in using IEPs in SCWOS.

## **Section 2.3.2 Creating Case Notes**

Case notes must never contain medical, legal, or personally identifiable information (PII) unless necessary. Case notes containing this information must be put into a physical, locked file cabinet, and must never be entered into SCWOS.

## **Section 2.3.4 Duplicate Individual User Accounts**

This section advises staff on how to address and resolve duplicate individual user accounts.

## Section 2.4 WIN Learning

Individuals may be referred to WIN Learning for testing to assess their employability.

## **Section 2.5 Federal Bonding**

High-risk workers include judicially-involved individuals and recovering addicts.

#### **Section 3.1.3.1 Eligibility for DVOP Services**

DOL has updated the list of eligible veterans to receive DVOP services to include Vietnam-era Veterans.

Intake staff must ensure all veterans complete the Veterans' Services Intake Form before referring a veteran to a DVOP specialist.

## Section 3.1.4 Homeless Veterans' Reintegration Program (HVRP)

Telamon and Military Community Connection of SC are no longer grant recipients of the Homeless Veterans' Reintegration Program.

## Section 3.4 Jobs for America's Graduates (JAG)

The JAG program in SC is currently affiliated with 22 high schools, 2 middle schools, and 2 out-of-school programs.

## **Part 5: Special Projects**

This part highlights current innovative programs on which ES collaborates, including the Back to Work Program, Second Chance Programs, and Reentry State Council Reentry Job Fairs.

## **Section 6.1.2 Trade and Veterans' Programs**

Updated negotiated performance measures for the Veterans' Programs now include 6 employment measures, rather than the previous 12.

## **Section 6.1.3 Data Changes for Workforce Programs**

Staff must submit data change requests for the prior program year by September 15<sup>th</sup> of the following program year.

## **Section 8.2 WIOA Additional Partner Programs**

In addition to the section on WIOA Required Partners, this section briefly describes additional partner programs highlighted by DOL.

### Section 8.3 Where to find things...

This section provides links and information on several locations where staff may go to find useful human resources information and job-related resources.

## **Section 8.4 SCWOS Support and Technical Assistance**

For technical assistance, staff may reach out to Policies and Procedures, the IT Service Center, and Workforce Intelligence at the provided email addresses.

#### **Section 8.5 Abbreviations**

This updated abbreviations section provides a quick reference for many of the abbreviations that staff is likely to see in the workplace.

## 7.3 Revision 3: SEPTEMBER 2021

## Section 1.1.1 Effectiveness in Serving Employers (ESE) & Activity Code Entry

Updated employer activity codes are included, and information about which activity codes may be included on an employer account that has not been enabled.

#### Section 1.1.2 Virtual Events Within the Brazen Platform

Section added to include guidance on the use of the Brazen Virtual Event Platform to provide virtual job fair services to employers and job seekers.

#### Section 1.4.1 Rapid Response IWT

Section added to provide information regarding Rapid Response IWT.

## **Sections 1.5 Foreign Labor Certifications**

Updated the H-2A Temporary Agricultural Certification paragraph to include filing deadlines for seasonal applications.

#### Section 2.3.1 Registering an Individual in SCWOS Without a Social Security Number

Section added to include guidance on staff responsibility to create individual SCWOS accounts for individuals who choose to register in SCWOS without a SSN.

## **Section 2.3.2 Recording Job Seeker Services in SCWOS**

Updated individual activity codes and information about which activity codes trigger participation.

## **Section 2.3.4 Required Use of SCWOS for Referrals**

Section added to include guidance on the required use of SCWOS to make referrals for individuals to other programs or providers of service.

#### **Section 2.3.6 Merging Individual User Accounts**

Updated guidance for merging duplicate individual user accounts in SCWOS.

## **Section 3.2 WIOA Title I Programs**

Section added to include general information about WIOA Title I programs: Adult, DW, and Youth programs, as well as, the DWG and IWT programs.

## Section 3.7 Ticket to Work Program: Employment Network

Updated to include the Social Security Administration's website for the program.

#### Section 4.3 UI-Approved Training—GED Program and State Technical College Training

Section added to include guidance on documenting and tracking UI recipients in UI-approved training.

## **Section 5.1 Back to Work Program**

Updated section to include staff required use of activity code 142: soft skills instruction for Back to Work participants.

## **Section 6.1.3 Data Changes for Workforce Programs**

Data change requests received after September 15<sup>th</sup> to correct data for the previous program year will not be included in performance. However, if data errors are discovered after the deadline for performance submission, data change requests should still be submitted in order to improve the accuracy, validity, and reliability of program data.

## Section 6.1.4 Performance Data Validation for DOL Workforce Programs

Section added to provide data validation procedures for workforce programs administered by DEW.

## Section 6.4 Personally Identifiable Information (PII)

Updated to define PII, emphasize that PII must never be included in a case note on SCWOS, and provide guidance on record retention.

#### **Section 8.4 SCWOS Support and Technical Assistance**

DEW's IT Service Center will no longer assist with individual (jobseeker) account merge requests.

# Part 8: Resources

## 8.1 WIOA Required Partner Programs

**Adult, Dislocated Worker (DW), and Youth Programs** are designed to help job seekers access employment and training services to succeed in the labor market and to match employers with the skilled workers they need to compete in the global economy.

Adult Education and Family Literacy (AEFLA) Programs provide English language, math, reading, and writing instruction to help students acquire the skills needed to succeed in the workforce, earn a high school equivalency, or enter college and/or career training. Family literacy programs address the literacy strengths and needs of the family/community while promoting parents' involvement in children's education and their own education.

**Wagner-Peyser (WP) Services** provide all jobseekers with assessments, career counseling, job referrals, and resume assistance. Free tools to assist employers include posting job openings, recruiting and screening candidates, and reviewing job market trends.

**Vocational Rehabilitation (VR)** is a federal and state program that helps people who have physical or mental disabilities get and/or keep a job. VR is committed to helping people with disabilities find meaningful careers. In SC, the VR program is administered by the Vocational Rehabilitation Department and the Commission for the Blind (SCCB).

**Senior Community Service Employment Program (SCSEP)** provides training and job opportunities for low income persons age 55 and older.

**Job Corps** is a free education and training program that helps young people learn a career, earn a high school diploma or GED, and find employment. The program is administered by DOL's Office of the Secretary.

**Native American Programs** support employment and training activities for Indian, Alaska Native, and native Hawaiian individuals. The Indian and Native American program funds grant programs that provide training opportunities at the local level for this target population.

**Migrant and Seasonal Farmworker (MSFW) Programs** provide training and employment services to assist MSFWs in attaining greater economic stability. The Monitor Advocate system, with responsibilities at the national, regional, and state levels, helps ensure that farmworkers are served equitably in workforce programs.

Jobs for Veterans' State Grant (JVSG) Programs serve America's veterans and other covered persons by preparing them for meaningful careers, providing employment resources and expertise, and protecting their employment rights.

**YouthBuild** is a community-based, nonprofit alternative education program that provides job training and educational opportunities for at-risk youth ages 16-24. Youth learn construction skills while constructing or rehabilitating affordable housing for low-income or homeless families

in their own neighborhoods. Youth split their time between the construction site and the classroom, where they earn a high school diploma or GED, learn to be community leaders, and prepare for college and other postsecondary training opportunities.

**Trade Adjustment Assistance (TAA or Trade)** is a federal program that assists US workers who have lost or may lose their jobs as a result of increased imports or shifts in operations due to foreign trade agreements. This program seeks to provide adversely affected workers with opportunities to obtain the skills, credentials, resources, and support necessary to become reemployed.

**Community Services Block Grants (SCBG)** provide funds to alleviate the causes and conditions of poverty in communities, including services and activities that address employment, education, financial management, housing, nutrition, emergency services, and health needs.

**Department of Housing and Urban Development (HUD)** has a strong commitment to providing employment opportunities, training, and supportive services to assist low-income persons in becoming self-sufficient. Additionally, many of HUD's economic development programs generate large numbers of new jobs. These workforce development and job creation efforts are a part of HUD's welfare-to-work strategy and benefit welfare recipients who reside in public and assisted housing, as well as others living in low-income communities.

**Unemployment Compensation Programs** provide unemployment benefits to eligible workers who become unemployed through no fault of their own and meet certain other eligibility requirements.

**Second Chance Act (SCA) of 2007** supports state, local, and tribal governments and nonprofit organizations in reducing recidivism and improving outcomes for people returning from state and federal prisons, local jails, and juvenile facilities. SCA legislation authorizes federal grants for vital programs and systems reform aimed at improving the reentry process into society and the workforce.

**Postsecondary Career and Technical Education** focuses on academic achievement of career and technical education students, strengthens the connections between secondary and postsecondary education, and improves state and local accountability and coordination of education programs.

**Temporary Assistance for Needy Families (TANF)**, administered by DSS, is the monthly cash assistance program with an Employment Services component for low-income families with children under age 18 or children age 18 and attending school full time.

## 8.2 WIOA Additional Partner Programs

**Ticket-to-Work (TTW) Program** is a free and voluntary program that can help Social Security beneficiaries go to work, get a good job that may lead to a career, and become financially independent, while they keep their Medicare or Medicaid.

**Small Business Administration (SBA) Programs** provide assistance to small businesses and aspiring entrepreneurs.

**Supplemental Nutrition Assistance Program (SNAP)** offers nutrition assistance to eligible, low-income individuals and families and provides economic benefits to communities. Through the SNAP Employment and Training (E&T) component, SNAP participants may gain skills, training, work, or experience that will increase their ability to obtain regular employment.

Client Assistance Program (CAP) assists individuals who receive or want to receive services from VR and SCCB to understand their rights to reasonable accommodations and to be free from disability related discrimination. Protection and Advocacy for People with Disabilities, Inc., (P&A) is the designated administrator of CAP in SC.

**National and Community Service Act of 1990 Programs** engage individuals in full time and/or part time projects designed to combat illiteracy and poverty, provide job skills, enhance educational skills, and fulfill environmental needs.

**Other appropriate federal, state, or local programs**, including, but not limited to, employment, education, and training programs provided by public libraries or in the private sector

## 8.3 Where to find things...

**Staff Online Resources** is a collection of resources for staff found on <u>SCWOS</u>. To access, login to SCWOS, scroll down the page to the "Other Staff Services" tab in the left margin, and select Staff Online Resources.

<u>iConnect</u> includes a variety of resources and information for DEW staff.

<u>DEW Policy Center</u> provides a collection of agency policies, including state instructions and human resources information.

<u>DEW U.</u> is DEW's learning management system (LMS). Courses covering a variety of topics for staff development are available here.

## 8.4 SCWOS Support and Technical Assistance

SCWOS houses South Carolina's labor exchange, labor market information resources, and case management system for multiple programs. Support of this system is managed by the Policies and Procedures department. Requests or questions regarding the following should be sent to Policies and Procedures at <a href="mailto:policies.gov">policies.gov</a>:

- New staff user requests
- Privilege changes
- New or enhanced ad hoc report requests
- Default system setting questions
- Staff password resets
- Bug or error reports

- Functionality issues
- Reporting errors
- SCWOS training requests
- Performance related questions
- Employer account merge requests
- Individual (jobseeker) account merge requests\*

Requests or questions regarding the following should be routed through Workforce Support at workforcesupport@dew.sc.gov:

Change requests

Programmatic questions

Requests or questions regarding the following should be sent to Policies and Procedures at workforceintelligence@dew.sc.gov:

• Application Service Requests (ASRs)

NOTE: DEW staff must continue to enter ASRs within Footprints.

<sup>\*</sup>DEW's IT Service Center will no longer assist with individual (jobseeker) account merge requests.

## 8.5 Abbreviations

ADA	Americans with Disabilities Act	MOA	Mamarandum of Agraement
AEFLA	Adult Education and Family Literacy Act of	MOU	Memorandum of Agreement Memorandum of Understanding
ALILA	1998	MSFW	Migrant and Seasonal Farmworkers
AJC	American Job Center	NPRM	Notice of Proposed Rulemaking
BFOQ	Bona Fide Occupational Qualification	OJT	On-the-Job Training
BLS	Bureau of Labor Statistics (DOL)	O*NET	Occupational Information Network
CAP	Client Assistance Program	PII	Personally Identifiable Information
СВО	Community-Based Organization	PIRL	Participant Individual Record Layout
CFR	Code of Federal Regulations	PTL	Procedure Transmittal Letter (UI)
CRM	Customer Relationship Management	RESEA	Re-employment Services and Eligibility
Citivi	Module (part of SCWOS dedicated to	KLJLA	Assessment
	Marketing Leads)	SBA	Small Business Administration
CSBG	Community Services Block Grant	SBE	Significant Barriers to Employment (JVSG)
DINAP	Division of Indian and Native American	SCA	Second Chance Act
<b>2</b>	Programs	SCCB	SC Commission for the Blind
DOL	US Department of Labor	SCOIS	SC Career Information System
DUA	Disaster Unemployment Assistance	SCSEP	Senior Community Service Employment
DVOP	Disabled Veterans Outreach		Program
	Program	scwos	SC Works Online Services
DW	Dislocated Worker	SNAP	Supplemental Nutrition Assistance
ED	US Department of Education		Program (formerly Food Stamps)
EER	Entered Employment Rate	SSA	Social Security Administration
EN	Employment Network (Ticket to Work)	SSN	Social Security Number
ESL	English as a Second Language	SWDB	State Workforce Development Board
ES	Employment Services	TAA	Trade Adjustment Assistance
ETA	Employment and Training Administration (DOL)	TANF	Temporary Assistance for Needy Families (DSS)
ETP	Eligible Training Provider	TEGL	Training and Employment Guidance
FEIN	Federal Employer Identification Number		Letter (issued by the ETA)
FOIA	Freedom of Information Act	TRA	Trade Readjustment Allowance
HHS	US Department of Health and Human	TTW	Ticket to Work Program
	Services	UC	Unemployment Compensation
HVRP	Homeless Veterans' Reintegration	UI	Unemployment Insurance
	Programs	VCN	Virtual Career Network
IEP	Individual Employment Plan	VETS	Veterans' Employment and Training
INAP	Indian and Native American Program		Service (DOL)
ION	Innovation and Opportunity Network	VR	Vocational Rehabilitation
	(WIOA)	WARN	Worker Adjustment and Retraining
IPE	Individual Plan of Employment (VR)		Notification Act
ISS	Individual Service Strategy (Youth)	WED	Workforce and Economic Development
JAG	Jobs for America's Graduates	WIA	Workforce Investment Act of 1998
JVSG	Jobs for Veterans State Grants	WIOA	Workforce Innovation and Opportunity
LMI	Labor Market Information	14/15	Act of 2014
LVER	Local Veterans Employment	WIP	Workforce Information Portal
LVA/DA	Representative	WOTC	Work Opportunity Tax Credit
LWDA	Local Workforce Development Area	WP	Wagner-Peyser Act of 1933

**LWDB** Local Workforce Development Board